

Date: 21 September, 2018

Reference: RFP 2018/S/029

Request for proposals (RFP 2018/S/029)

Provision of Climate Change Economics and Governance Training

1. Background

- 1.1 The Green Climate Fund (Fund) was established with the purpose of making a significant and ambitious contribution to the global efforts towards attaining the goals set by the international community to combat climate change. In the context of sustainable development, the Fund will promote a paradigm shift towards low-emission and climate-resilient development pathways by providing support to developing countries to limit or reduce their greenhouse gas emissions and to adapt to the impacts of climate change. The Fund's headquarters are located in Songdo, Incheon City, Republic of Korea.
- 1.2 The GCF was designated as an operating entity of the financial mechanism of the United Nations Framework Convention on Climate Change ("UNFCCC"). It is governed and supervised by a Board that has responsibility for funding decisions pursuant to the Governing Instrument for the Green Climate Fund. It is supported by an independent Secretariat, accountable to the Board, having management capabilities to execute day-to-day operations of the GCF, providing administrative, legal and financial expertise.

2. Invitation

- 2.1 Through this request for proposals ("RFP"), the GCF is seeking to contract a qualified, reputable and experienced Firm to provide Training in Climate Change Economics and Governance. The terms of reference included in Annex 1 provides the details of the assignment and expected deliverables.
- 2.2 Sealed Proposals must be submitted to the Secretariat no later than Wednesday 12 October 2018 at 5.00 pm Korean time.
- 2.2 The RFP includes the following annexes:

Annex 1 Terms of Reference

Annex 2 Requirement for Firm's Proposals

Annex 3 Evaluation Criteria

Annex 4 Company Profile Form

Annex 5 Acknowledgement Letter

Annex 6 Timeline

Annex 7 Model Contract

- 2.3 The terms set forth in this RFP, including all the annexes listed above, will form part of any contract, should the Secretariat accept your proposal. Any such contract will require compliance with all factual statements and representations made in the proposal, subject to any modifications agreed to by the Secretariat in the context of any negotiations entered into it.
- 2.4 The GCF may, at its discretion, cancel the requirement in part or in whole. It also reserves the right to accept or reject any proposal and to annul the selection process and reject all proposals at any time prior to selection, without thereby incurring any liability to proposers/firms.
- 2.5 Proposers may withdraw the proposal after submission provided that written notice of withdrawal is received by the GCF prior to the deadline prescribed for submission of proposals. No proposal may be modified subsequent to the deadline for submission of proposals. No proposal may be withdrawn in the



interval between the deadline for submission of proposals and the expiration of the period of proposal validity.

- 2.6 All proposals shall remain valid and open for acceptance for a period of 90 calendar days after the date specified for receipt of proposals. A proposal valid for a shorter period may be rejected. In exceptional circumstances, the GCF may solicit the proposer's consent to an extension of the period of validity. The request and the responses thereto shall be made in writing.
- 2.7 Effective with the release of this solicitation, all communications must be directed only to Procurement Specialist by email at procurement@gcfund.org. Proposers must not communicate with any other personnel of the GCF regarding this RFP. All communications regarding this RFP and sent via email MUST have the title of the RFP in the subject line i.e. RFP/2018/S/029 Provision Climate Change Economics and Governance Training.
- 2.8 This RFP is issued under the GCF Administrative Procurement Guidelines1. Information regarding the guidelines can be found at

http://www.greenclimate.fund/documents/20182/574763/GCF_policy_-Administrative Guidelines on Procurement.pdf/b767d68e-f8b7-46d1-a18c-b6541f3dc010

3. Request for Clarification of RFP Documents

3.1 A prospective proposer requiring any clarification of the solicitation documents may notify the GCF in writing at the GCF mailing or to the email address procurement@gcfund.org by the specified date and time mentioned in Annex 6. If the clarification is sent via email, the email subject MUST have the title of the RFP i.e. RFP/2018/S/029 – Provision Climate Change Economics and Governance Training. The GCF will respond in writing to any request for clarification of the solicitation documents that it receives by the due date published on Annex 6. Written copies of the GCF response (including an explanation of the query but without identifying the source of inquiry) will be posted on the GCF website. They will also be sent to all prospective proposers that have received the solicitation documents.

4. Amendments to RFP Documents

- 4.1 At any time prior to the deadline for submission of proposals, the GCF may, for any reason, whether at its own initiative or in response to a clarification requested by a prospective proposer, modify the RFP documents by amendment. All prospective proposers that have received the RFP documents will be notified in writing of all amendments to the RFP documents. The amendments will also be posted on the GCF website
- 4.2 In order to allow prospective proposers reasonable time in which to take the amendment into account in preparing their proposals, the GCF may, at its sole discretion, extend the deadline for the submission of proposal.

5. Language of Proposals

5.1 The proposals prepared by the proposer and all correspondence and documents relating to the proposal exchanged by the proposer and the GCF, shall be written in English. Supporting documents and printed literature furnished by the proposer may be in another language provided they are accompanied by an appropriate translation of all relevant passages in English. In any such case, for interpretation of the

¹ Annex 2 "Corporate Procurement Guidelines on the Use of Consultants"



proposal, the translation shall prevail. The sole responsibility for translation and the accuracy thereof shall be the responsibility of the proposer.

6. Submission of Proposals

- 6.1 Proposers shall submit their proposal in hard and/or soft copy. Technical and financial proposals must be submitted simultaneously in separate sealed envelopes with the RFP reference and the clear description of the proposal (technical or financial) by the date and time stipulated in Appendix 6. The two envelopes must be sealed in an outer envelope with the RFP reference and title.
- 6.2 Proposals must be sent ONLY to the address detailed below. Proposals sent to other addresses or to individuals will put proposer's proposals at risk of being rejected. Proposals sent via fax <u>WILL NOT</u> BE ACCEPTED.
- 6.3 Submission in hard copy may be done by post, courier or hand delivered. Both inner envelopes should indicate the name and address of the proposer. The first inner envelope should contain the proposer's technical proposal with copies duly marked "original" and "copy". The second inner envelope should include the financial proposal duly identified as such. If the envelopes are not sealed and marked as instructed, the GCF assumes no responsibility for the misplacement or premature opening of the proposals submitted.
- 6.4 Technical proposals shall be submitted in one (1) original envelope, clearly marked as technical proposal with two (2) additional copies and one (1) soft copy in the form of a CD or USB flash drive. Technical proposals (original, copies and soft copy) must be sealed in a specially marked envelope/package labelled:

RFP/2018/S/029 - Provision Climate Change Economics and Governance Training - TECHNICAL PROPOSAL- (name and address of proposer)

6.5 Financial proposals should be submitted in one (1) original envelope on the forms prescribed herein. Financial proposals should be sealed separately in a specially marked envelope labelled:

DO NOT OPEN-RFP No RFP/2018/S/029 – Provision Climate Change Economics and Governance Training – FINANCIAL PROPOSAL (name and address of proposer).

- 6.6 Proposers are strongly recommended to use recycled paper for all printed and photocopied documents related to the submission of this proposal and fulfilment of this contract and shall, whenever practicable, use both sides of the paper.
- 6.7 Hard copies must be delivered to:

Green Climate Fund 11 Floor, G-Tower, 175, Art Center-daero Yeonsu-gu,Incheon, 22004, Republic of Korea

Attention: Procurement Specialist

Proposals can also be sent via email to the following email address: procurement@gcfund.org

6.8 Where proposals are sent via email and in soft copy, two separate files (technical and financial proposals) preferably in pdf format should be sent.



The Technical files should be named as follows:

RFP2018C029 - TECHNICAL PROPOSAL- (name of proposer)

The financial file should be named as follows:

RFP2018C029 -FINANCIAL PROPOSAL- (name of proposer)

The email subject MUST contain the reference number and title of the RFP and should be as follows:-RFP/2018/S/029 – Provision of Climate Change Economics and GovernanceTraining (Name of Proposer)

The Financial Proposal **MUST** be password protected. The authorized procurement officer will contact the bidders that pass the qualifying technical score for the password to open the Financial proposal. Financial Proposals that are submitted without password protection shall be rejected for noncompliance.

All prospective proposers are kindly requested to return the completed Acknowledgement Letter of RFP receipt (Appendix 5) by the date indicated in Appendix 6, duly signed by an authorized representative, via email, advising whether it intends to submit a proposal by the designated closing date/time. Please also notify the Procurement Specialist immediately if any part of this RFP is missing and/or illegible.

7. Late proposals

7.1 Any proposals received by the GCF after the deadline for submission of proposals prescribed in Annex 6 of this document may be rejected.

8. Opening of Technical Proposals

8.1 Technical Proposals will be opened on the date indicated in Annex 6 in the room designated for this purpose at the premises of the Secretariat of the GCF. The purpose of this public opening is to record the names of proposers having submitted proposals by the due date and time. Only technical proposals will be opened at the public opening. The financial proposals will not be opened. Proposers submitting proposals are welcome to send one (1) representative, with proper authorization from their company, to observe the opening and recording of proposals received.

9. Opening of Financial Proposals

9.1 After the technical evaluation is completed, the GCF shall notify those Proposers whose Proposals did not meet the minimum qualifying technical score that their Financial Proposals will be returned unopened after completing the selection process and Contract signing. GCF shall simultaneously notify in writing



those Proposers that have achieved the minimum qualifying technical score and inform them of the date, time and location for the opening of the Financial Proposals.

9.2 At the opening, the names of the Proposers, and their overall technical scores shall be read aloud. The Financial Proposals shall be then opened, and the total prices read aloud and recorded.

10. Corrupt, Fraudulent, Coercive, Collusive and other Prohibited Practices.

10.1 The GCF requires that all GCF staff, proposers/bidders, suppliers, service providers and any other person or entity involved in GCF-related activities observe the highest standard of ethics during the procurement and execution of all contracts. The GCF may reject any proposal put forward by proposers, or where applicable, terminate their contract, if it is determined that they have engaged in corrupt, fraudulent, coercive, collusive or other prohibited practices.

11. Conflict of Interest

11.1 In their proposal, proposers must (i) confirm that, based on their current best knowledge, there are no real or potential conflicts of interest involved in rendering Services for the GCF, and (ii) set out their policy on dealing with conflicts of interest should these arise.

12. Confidentiality

12.1 Information relating to the evaluation of proposals and recommendations concerning selection of Firms will not be disclosed to Firms that submitted proposals.



Annex1 TERMS OF REFERENCE

Climate Change: Economics and Governance In-house training course for GCF Staff

1. Introduction

The Green Climate Fund (the "GCF") was established in December 2010 with the purpose of making a significant and ambitious contribution to the global efforts towards attaining the goals set by the international community to combat climate change. In the context of sustainable development, the GCF will promote a paradigm shift towards low-emission and climate- resilient development pathways by providing support to developing countries to limit or reduce their greenhouse gas emissions and to adapt to the impacts of climate change.

The GCF was designated as an operating entity of the financial mechanism of the United Nations Framework Convention on Climate Change ("UNFCCC"). It is governed and supervised by a Board that has responsibility for funding decisions pursuant to the Governing Instrument for the Green Climate Fund. It is supported by an independent Secretariat, accountable to the Board, having management capabilities to execute day-to-day operations of the GCF, providing administrative, legal and financial expertise. The GCF's headquarters (the Secretariat) are located in Songdo, Incheon City, Republic of Korea.

2. Background on the assignment

The GCF has attracted and hired highly talented and motivated individuals to fill most of its positions. The Fund's workforce is highly diverse with GCF staff holding various expertise in project finance, country readiness and policy development, climate change negotiations, international development as well as across the Fund's mitigation and adaptation results areas. The Fund is rolling out a learning and development plan which covers key areas of knowledge and training development identified across the Secretariat. To strengthen the common understanding of GCF staff of the global challenges and opportunities under which the Fund carries its operations, the Secretariat is seeking external support to facilitate an in-house training for staff on Climate Change Governance and Economics.

It is expected that the focus group will comprise of: Twenty-five participants

3. Objectives and Scope of the Assignment

It is envisaged that the program will address a number of identified Staff learning needs with regards to climate economics and governance and will comprise of the following modules:

- 3.1 Update on the current state of global climate governance, looking at:
 - The politics of climate change and drivers of the global discourse on climate change, from a scientific and social perspective
 - Existing global governance arrangements for climate change, past experiences and lessons learned
- 3.2 Evaluation of the current state of economic thought around climate change, by looking at:
 - The linkages between the drivers and consequences of climate change and other leading global economic forces, including international trade and exploring the short-term and long-term trade-offs between climate proof/green investments and business as usual



- Global, regional and national investment areas or sectors which hold greatest potential of mitigation, as well as highlight spots with greatest adaptation needs
- Potential for sectoral transformation towards lower emissions and climate resilience
- 3.3 Provide an overview of the international architecture for response to climate change, including by looking at:
 - Public and private agents with a potential to lead on responding to climate change
 - State of national and international law as response to climate change
 - Global climate financing mechanisms and lessons learned so far
- 3.4 Provide a practical overview of methodologies to assess and design interventions which deliver on mitigation and adaptation outcomes by:
 - Offering an overview of the latest research thinking around promoting low emission climate resilient growth
 - Explaining policy, financial and regulatory tools to reduce emissions
 - Explaining scientific and policy tools to assess climate vulnerabilities
 - Reviewing the applicability of these tools in the context of the GCF Operational modalities and will explore ways of integrating said standards into
 - Providing a background on main points of differentiation between development activities and activities which have a climate change impact

4 Expected learning outcomes

- a) Improved knowledge and awareness of latest climate change related issues at the global level
- b) Align staff perceptions and expertise around the Fund's global mandate
- c) Improved understanding of the political context within which the Fund operates and a contextualization of the GCF's core mandate and its positioning in the global arena, vis-à-vis other global economic and market agents;
- d) Exposure to concrete examples which illustrate options for improving the GCF's funding modalities, such as country Readiness or project development and the associated political sensitivities.
- e) An assessment of the Green Climate Fund's added value in relation to other global institutions to advance the climate agenda.

5 Duration of Assignment

Approximately 4 learning days

6 Requirements of the firm

- Experience in delivering top class training in said areas to a diverse range of participants or similar organizations to the GCF
- Demonstrated knowledge and expertise in climate change economics and governance, either through professional or academic assignments and ideally through a combination of the two
- Trainers should have an Advanced degree in Environmental Studies, Climate Change, Climate Economics, Public Policy, Governance etc

7. Expected deliverables

- a) On-site training conducted at a time and venue determined by GCF;
- b) Training and learning resources for purposes of reference during and or after training;
- c) Post-training report;
- d) Program reports including training; detailed pre and post training report, training outcomes, adverse events, post-training project report and any areas for future interventions.



Annex 2 Requirements for Firms' Proposals Technical Proposal

The technical proposal will be submitted in a separately sealed envelope and will address all aspects of the Terms of Reference. The Technical Proposal shall have all the necessary details in response to the TOR and the proposer shall fill in the technical Forms (TECH Forms) which follow in this annex and which must be filled in accordingly.



TECH Forms

Form TECH-1: Technical Proposal Submission Form

| [Location, Date] |
|---|
| To: [Name and address of Client] |
| Dear Sirs: |
| We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Request for Proposal dated [Insert Date] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal, and a Financial. |
| We are submitting our Proposal in association with: [Insert a list with full name and address of each associated Consultant if submitting as an association] |
| We hereby declare that all the information and statements made in this Proposal are true and accept that any misinterpretation contained in it may lead to our disqualification. |
| If negotiations are held during the period of validity of the Proposal, i.e., before the date indicated in Paragraph Reference 2.6 of the RFP, we undertake to negotiate on the basis of the proposed staff, methodology and approach. Our Proposal is binding upon us and subject to the modifications resulting from Contract negotiations. |
| We undertake, if our Proposal is accepted, to initiate the consulting services related to the assignment . |
| We understand you are not bound to accept any Proposal you receive. |
| We remain, |
| Yours sincerely, |
| Authorized Signature [In full and initials]: Name and Title of Signatory: Name of Firm: Address: |



Form TECH-2: Firm's Organization and Experience

A - Firm's Organization

[Provide here a brief (two pages) description of the background and organization of your firm/entity and each associate for this assignment.]



B - Firm's Experience

Firm's Name:

[Using the format below, provide information on each assignment for which your firm, and each associate for this assignment, was legally contracted either individually as a corporate entity or as one of the major companies within an association, for carrying out consulting services similar to the ones requested under this assignment. Use not more than 20 pages.]

| Assignment name: | Approx. value of the contract (in current US\$ or Euro): |
|--|---|
| Country: Location within country: | Duration of assignment (months): |
| Name of Client: | Total Nº of staff-months of the assignment: |
| Address: | Approx. value of the services provided by your firm under the contract (in current US\$ or Euro): |
| Start date (month/year): Completion date (month/year): | N° of professional staff-months provided by associated Consultants: |
| Name of associated Consultants, if any: | Name of senior professional staff of your firm involved and functions performed (indicate most significant profiles such as Project Director/Coordinator, Team Leader): |
| Narrative description of Project: | |
| Description of actual services provided by your st | taff within the assignment: |



Form TECH-3: Comments and Suggestions on the Terms of Reference and on Counterpart Staff and Facilities to be Provided by the Client

On the Terms of Reference

[Present and justify here any modifications or improvement to the Terms of Reference you are proposing to improve performance in carrying out the assignment (such as deleting some activity you consider unnecessary, or adding another, or proposing a different phasing of the activities). Such suggestions should be concise and to the point, and incorporated in your Proposal.]



Form TECH-4: Description of Approach, Methodology and Work Plan for Performing the Assignment

[Technical approach, methodology and work plan are key components of the Technical Proposal. You are suggested to present your Technical Proposal (Not more than 40 pages, inclusive of charts and diagrams) divided into the following three chapters:

- a) Technical Approach and Methodology,
- b) Work Plan, and
- c) Organization and Staffing,

a) <u>Technical Approach and Methodology</u>. In this chapter you should explain your understanding of the objectives of the assignment, approach to the services, methodology for carrying out the activities and obtaining the expected output, and the degree of detail of such output. You should highlight the problems being addressed and their importance, and explain the technical approach you would adopt to address them. You should also explain the methodologies you propose to adopt and highlight the compatibility of those methodologies with the proposed approach.

- b) Work Plan. In this chapter you should propose the main activities of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and delivery dates of the reports. The proposed work plan should be consistent with the technical approach and methodology, showing understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents, including reports, drawings, and tables to be delivered as final output, should be included here. The work plan should be consistent with the Work Schedule of Form TECH-8.
- c) Organization and Staffing. In this chapter you should propose the structure and composition of your team. You should list the main disciplines of the assignment, the key expert responsible, and proposed technical and support staff.]



Form TECH-5: Team Composition and Task Assignments

| Professional Stat | f | | | |
|-------------------|------|-------------------|-------------------|---------------|
| Name of Staff | Firm | Area of Expertise | Position Assigned | Task Assigned |
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Form TECH-6: Curriculum Vitae (CV) for Proposed Professional Staff

| 1. | Proposed Position [only one candidate shall be nominated for each position]: | |
|-----|---|---------|
| 2. | Name of Firm [Insert name of firm proposing the staff]: | |
| 3. | Name of Staff [Insert full name]: | |
| 4. | Date of Birth:Nationality: | |
| 5. | Education [Indicate college/university and other specialized education of staff member, giving nan institutions, degrees obtained, and dates of obtainment]: | nes of |
| 6. | Membership of Professional Associations: | |
| 7. | Other Training [Indicate significant training since degrees under 5 - Education were obtained]: | |
| 8. | Countries of Work Experience: [List countries where staff has worked in the last ten years]: | |
| 9. | Languages [For each language indicate proficiency: good, fair, or poor in speaking, reading, and write | iting]: |
| | Employment Record [Starting with present position, list in reverse order every employment held by member since graduation, giving for each employment (see format here below): dates of employment, of employing organization, positions held.]: | |
| Fro | om [Year]: To [Year]: | |
| En | mployer: | |
| Pos | ositions held: | |



| 11. Detailed Tasks Assigned | 12. Work Undertaken that Best Illustrates Capability to Handle the Tasks Assigned |
|---|---|
| | [Among the assignments in which the staff has been involved, indicate the following information for those assignments that best illustrate staff capability to handle the tasks listed under point 11.] |
| [List all tasks to be | Name of assignment or project: |
| performed under this | Year: |
| assignment] | Location: |
| assignment | Client: |
| | Main project features: |
| | Positions held: |
| | Activities performed: |
| 13. Certification: | |
| I, the undersigned, certify that to the | e best of my knowledge and belief, this CV correctly describes myself, m |
| qualifications, and my experience. | I understand that any wilful misstatement described herein may lead to m |
| disqualification or dismissal, if enga | aged. |
| Signature of staff member or autho | Date: Day/Month/Year |
| | |
| Full name of authorized representation | ve: |



Form TECH-7: Staffing Schedule¹

| Name of Staff | Staff inp | Staff input (in the form of a bar chart) ² | | | | | | | | | | Total st | Total staff-day input | | | |
|---------------|-----------|---|--------------|---|---|---|--------------|---|---|------|------|--------------|-----------------------|------|--------------------|-------|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | n | Home | Field ³ | Total |
| Foreign | • | • | • | • | | • | | • | • | • | • | • | • | | • | |
| 1 | [Home] | | | | | | | | | | | | | | | |
| I | [Field] | | | | | | | | | | | | | | | |
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| Local | | | | | | | | | | | | | | | | |
| 1 | [Home] | | | | | | | | | | | | | | | |
| I | [Field] | | | | | | | | | | | | | | | |
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- 1 For Professional Staff the input should be indicated individually; for Support Staff it should be indicated by category (e.g.: draftsmen, clerical staff, etc.).
- 2 Months are counted from the start of the assignment. For each staff indicate separately staff input for home and field work.
- 3 Field work means work carried out at a place other than the Consultant's home office.

Full time input

Part time input



Form TECH-8 Work Schedule

| N° | Activity ¹ | Days ² | | | | | | | | | | | | |
|----|-----------------------|-------------------|---|---|---|---|---|---|---|---|----|----|----|---|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | n |
| 1 | | | | | | | | | | | | | | |
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- 1 Indicate all main activities of the assignment, including delivery of reports and other benchmarks such as Client approvals.
- 2 Duration of activities shall be indicated in the form of a bar chart.



Financial Proposal

The Proposer is required to prepare and submit the Financial Proposal in an envelope separately sealed from the Technical Proposal and included inside the outer envelope to contain both separately sealed proposals.

The Financial Proposal must provide a detailed cost breakdown and a payment schedule preferably linked to the schedule of deliverables presented in Annex 1. Provide separate figures for each functional grouping or category Including fees and cost-reimbursable expenses. The Financial Section shall provide details of unit /daily fee rate per proposed team member and total consultancy fees based on the time proposed for performance of the services. Any estimates for cost-reimbursable expenses, should be listed separately and capped.

The Financial Proposal must also have the total consultancy fee summarized in addition to the break down covering the lump sum amount for purposes of determining the financial score and contract price. Financial Proposal Standard Forms (FIN Forms) shall be used for the presentation of the Financial Proposal.



FIN Forms

Form FIN-1: Financial Proposal Submission Form

| [Locat | ion, Date] | | |
|--------|--|---|--|
| То: | [Name and address of C | lient] | |
| Dear S | Sirs: | | |
| | ance with your Request ial Proposal is for the sur | for Proposal dated [Insert D | ting services for [Insert title of assignment] in Date] and our Technical Proposal. Our attached rds and figures ¹]. This amount is exclusive of the |
| | | | bject to the modifications resulting from Contract oposal, i.e. before the date indicated in Paragraph |
| execut | | ities paid or to be paid by us Contract, are listed below ² : | s to agents relating to this Proposal and Contract |
| Na | of Agents | Amount and Currency | |
| | We understand you are | not bound to accept any Propo | osal you receive. |
| | We remain, | | |
| | Yours sincerely, | | |
| | Name and Title of Signa Name of Firm: | ntory: | |

- 1 Amounts must coincide with the ones indicated under Total Cost of Financial proposal in Form FIN-2.
- 2 If applicable, replace this paragraph with: "No commissions or gratuities have been or are to paid by us to agents relating to this Proposal and Contract execution."



Form FIN-2: Summary of Costs

| | Costs |
|--|------------------------|
| Item | Indicate with Currency |
| Total Costs of Financial Proposal ¹ | |

Break down of Fees and expenses

| Description | Unit of measure (e.g. days, month, etc) | Total Period of Engagement | Unit cost / rate | Total Cost for the Period |
|---|---|----------------------------------|------------------|------------------------------|
| I. Remuneration Costs | | | | |
| Staff 1 | | | | |
| Staff 2 | | | | |
| | | | | |
| | | | | |
| II. Travel Costs | | | | |
| | | | | |
| III. Other Related Costs (Please specify) | | | | |
| | | | | |

1 Indicate the total costs, net of local taxes, to be paid by the Client in each currency.



Form FIN-3: Breakdown of Remuneration¹ (Lump-Sum)

(Information to be provided in this Form shall only be used to establish payments to the Consultant for possible additional services requested by the Client)

| Name | Position ³ | Staff-daily Rate | | | | | | |
|---------------|-----------------------|-------------------|--|--|--|--|--|--|
| Foreign Staff | | | | | | | | |
| | | [Home] [Field] | | | | | | |
| Local Staff | Local Staff | | | | | | | |
| | | | | | | | | |
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| | | | | | | | | |

- Form FIN-3 shall be filled in for the same Professional and Support Staff listed in Form TECH-7.
- 2 Professional Staff should be indicated individually; Support Staff should be indicated per category (e.g.: draftsmen, clerical staff).
 - 3 Positions of the Professional Staff shall coincide with the ones indicated in Form TECH-5.
 - 4 Indicate separately staff-month rate and currency for home and field work.



Annex 3 Evaluation Criteria

A. Evaluation and Comparison of Proposals

1. The proposals will be evaluated in a three stage procedure, with evaluation of the technical proposal being completed prior to any financial proposal being opened and evaluated. The financial proposal will be considered only if the submissions fulfil the minimum technical requirements.

B. Acceptance of Submissions

- 2. All proposers are expected to adhere to the requirements for submitting a proposal. Any proposals that fail to comply will be disqualified from further consideration as part of this evaluation. In particular:
 - Full compliance with the formal requirements for submitting a proposal;
 - Submission of all requested documentation
 - Acceptance of the GCF Model contract Where the proposer notes issues, these must be raised as part of the technical proposal for consideration during evaluation
- 3. The Technical Proposal shall include:
 - The firms profile
 - Detailed proposal on how the learning objectives will be met, including a proposed curriculum, method of delivery and proposed team members for the assignment;
 - Proposed curriculum and methodology to meeting the needs of the GCF as outlined in the ToR
 - Proposed pre-training, training and post-training activities
 - Number of days proposed for all the activities and timeline
 - Details of the specific individuals proposed for this assignment and their experience with similar undertakings
 - Sample of a similar program developed and delivered by the bidder

C. Evaluation of Technical Proposal

4. A reviewing committee shall be established to evaluate each technical proposal. The technical proposal is evaluated individually on the basis of its responsiveness to the technical requirements and will be assessed and scored according to the evaluation criteria below and as per scores in the table.

Qualifications of the Firm

| | The firm's expertise | Score |
|-----|--|-------|
| 1 | Expertise of Firm / Organization submitting Proposal | 30 |
| 1.1 | The firms training profile, expertise and experience in climate change economics and governance | |
| 1.2 | Track record of providing similar climate change economics and governance | |
| 2 | Technical approach and methodology | 40 |
| 2.1 | Does the curriculum respond to the needs outlined on the ToR? | |
| | Is the proposed approach and methodology well defined and respond to the desired learning outcomes | |



| 2.2 | Is the proposal clear and is the sequence of proposed pre-training, training and post-training activities realistic and promise efficient implementation of the project? | |
|-----|--|----|
| 3 | Trainer(s) | 30 |
| 3.2 | Advanced degree in Environmental Studies, Climate Change, Climate Economics, Public Policy, Governance etc and knowledge of English language | |
| | Demonstrated successful experience and past performance in similar undertakings | |
| | Total | |

Technical proposals that score at least 75 points out of 100 will be considered as qualified for the review of financial proposal. Any proposal less than that will be disqualified from proceeding to the next step and its financial proposal shall be returned unopened following the award of the contract.

D. Evaluation of Financial Proposal

The financial proposal of all proposers which have attained the minimum score in the technical evaluation will be evaluated subsequently. The lowest evaluated Financial Proposal (Fm) is given the maximum financial score (Sf) of 100. The formula for determining the financial scores (Sf) of all other Proposals is calculated as following: $Sf = 100 \times Fm/F$, in which "Sf" is the financial score, "Fm" is the lowest price, and "F" is the price of the proposal under consideration.

E. Consolidated evaluation

The weights given to the Technical (T) and Financial (P) Proposals are:

T = 0.70, and P = 0.30

Proposals will be ranked according to their combined technical (St) and financial (Sf) scores using weights (T = the weight given to the Technical Proposal; P = weight given to the Financial Proposal; T + P = 1) as following: $S = St \times T\% + Sf \times P\%$.

The firm achieving the highest combined technical and financial score will be invited for contract negotiations.

F. Award

The Award will be made to the responsive proposer who achieves the highest combined technical and financial score, following negotiation of an acceptable contract. GCF reserves the right to conduct negotiations with the Proposer regarding the contents of their offer. The award will be in effect only after acceptance by the selected proposer of the terms and conditions and the technical requirements.



Annex 4 **Company Profile Form** Please respond to all questions.

Company details - vendor's name

| Name: | | | |
|-------|--|--|--|
| | | | |

General Information

| Primary contact for | | | |
|----------------------------|---------------------------|------------------------|-----|
| sales/client services | | | |
| Address | | | |
| | | | |
| | Postal Code: | Country: | |
| Telephone: | | Fax: | |
| E-mail: | | Web site: | |
| Parent company, if any | | | |
| Subsidiaries, Associates, | | | |
| and/or Overseas Rep(s), if | | | |
| any | | | |
| Year established | | | |
| Registration Number | | | |
| Type of organization | Public enterprise | | () |
| | Private company | | () |
| | Organization sponsored (a | ssisted by Government) | () |
| | Other (please specify): | •• | () |
| Type of Business | Manufacturer | | () |
| | Retailer | | () |
| | Authorized Agent | | () |
| | Consulting Company | | () |
| | Other (please specify): | | () |
| Summary of main business | | | |
| activities | | | |
| No. of employees | | | |
| (by location) | | | |
| Staff turnover rate | | | |
| In-house working language | | | |
| (s) | | | |
| Bank Name: | | | |
| Bank Address: | | | |
| Account Holder: | | | |
| Account Number: | | | |
| IBAN: | | | |
| SWIFT: | | | |



Prior experience with international organizations

| List contracts with international organizati | ons in the last three years |
|--|---|
| BRIEFLY list recent contracts that used re | • |
| Attach additional sheets if necessary. | |
| 1 | |
| 2 | |
| 3 | |
| | |
| Environmental Daliev | |
| Environmental Policy | |
| Does your company have a written statement | ent of its environmental policy? |
| F. J | r v v v v v v v v v v v v v v v v v v v |
| YES () Please attach copy | NO () |
| Contract disputes | |
| Solitace disputes | |
| List any disputes your company has been i | nvolved in over the last three years |
| | - |
| | |
| References | |
| actor chees | |
| List suitable reference projects and contact | is. |
| ¥ • | t to a reference project and/or the vendor's site? |
| 1 | 1 7 |
| 2 | |
| 3 | |
| Partners | |
| | |
| If this is a part bid, list relevant recent expe | erience of working with partners. |
| Are there already formal or informal prefer | |
| 1 | |
| 2 | |
| 3 | |
| Conflict of interest | |
| sommet of interest | |
| Are there any likely circumstances or con- | tracts in place that may introduce a conflict of interest with |
| the parties to this contract? If so, explain h | |
| 1 | |
| 2 | |
| | |
| Certification | |
| the undersigned confirm that the informat | ion provided in this annex is correct. In the event of changes, |
| | ion provided in this aimex is correct. In the event of changes, |
| vill be provided. | |
| | |
| Name: | Title: |
| | |
| | |
| Signature: | Date: |



Annex 5 Acknowledgment Letter

| Dear Sir/Madam, | |
|---|--|
| We, the undersigned, acknowledge receipt of yes | our Request for Proposal (RFP) No. 2018/S/029 dated 21 |
| [] INTEND [] DO NOT INT | TEND |
| to submit a proposal to the secretariat of the Gree 2018, and that we: | en Climate Fund (GCF) by the deadline date of 12 October, |
| [] INTEND [] DO NOT INT | TEND |
| to send one (1) authorized representative to obser Hrs Korean Time. | ve the public opening procedure on 12 October, 2018, 17.30 |
| | and proprietary to the secretariat, and contains privileged or any part thereof, and all copies thereof, to the secretariat. |
| Name of Authorized Representative: | |
| Title: | |
| | |
| If you do not intend to submit a proposal to the second [] We do not have the capacity to submit [] We cannot meet the requirements for [] We do not think we can make a composite [] Other (please specify): | t a proposal at this time. this RFP. etitive offer at this time. |

Kindly return this acknowledgement letter immediately via e-mail to procurement@gcfund.org

 $NOTE: \ Due \ to \ current \ security \ arrangements, your \ authorized \ representative \ must \ present \ a \ completed \ copy \ of \ this \ letter \ in \ order \ to \ observe \ the \ public \ opening \ procedure.$



Annex 6 Timeline

The Green Climate Fund will follow the timeline below for this RFP. Any changes to this timeline will be posted on the GCF website. Please note that the target dates and may be adjusted.

| | Event | Responsible Party | Date (and time, KST*) |
|---|--|----------------------|---|
| 1 | Issuance of RFP | GCF | 21 September 2018 |
| 2 | Last day to send completed Acknowledgement Letter of RFP receipt | Tenderer | 8 October 2018 |
| 3 | Last date for requests for clarification of the RFP | Tenderer | 3 October 2018 |
| 4 | Last date to reply to questions received/ Last date for amendment | GCF | 5 October 2018 |
| 5 | Date by which proposal must be received in Korea by GCF | Tenderer | 12 October 2018; 17:00 Hrs Korean Time |
| 6 | Date of opening of Technical Proposals | GCF | 12 October, 2018 |
| 7 | Notice of successful provider | GCF | 26 October 2018 |
| 8 | Contract signing | GCF/Tenderer | 31 October 2018 |
| 9 | Work start | Tenderer | As specified in the contract. |

^{*} KST: Korean Standard Time (Seoul Time)



Annex 7

GCF Model Contract

Bidders must provide in the technical proposal a statement that the Bidder has carefully reviewed the Model Contract and its Annexes and is in agreement with all its terms and conditions. The bidder should also provide missing details in the contract, such as the name and the contact details of a person to whom the notices should be sent and the name and position of a person who would sign a contract with the Commission on behalf of the bidder. Where the bidder has specific issues of concern, those must be raised and indicated in the Technical proposal clearly for consideration during evaluation.

Contract No. _____

Consulting Service Contract for [nature of services]

by and between

Green Climate Fund, 12th floor, G-Tower, 175 Art Center-daero, Yeonsu-gu, Incheon, 22004 Republic of Korea

and

[CONTRACTOR, address]

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