

Readiness Proposal

with PricewaterhouseCoopers for the Republic of Peru

31 October 2016 | Entity Support



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FUND**

Readiness and Preparatory Support Proposal

How to complete this document?

- A short guidebook ([URL](#)) is available to provide guidance on how to access funding under the GCF Readiness and Preparatory Support programme. It should be consulted to assist in the completion of this proposal template.
- This document should be completed by National Designated Authorities (NDAs) or focal points with support from their delivery partners where relevant.
- Please be concise. If you need to include any additional information, please attach it to the proposal.
- Information on the indicative list of activities eligible for readiness and preparatory support and the process for the submission, review and approval of this proposal can be found on [pages X-Y](#) of the guidebook

Where to get support?

- If you are not sure how to complete this document, or require support, please send an e-mail to countries@gcfund.org. We will aim to get back to you within 48 hours.
- You can also complete as much of this document as you can and then send it to countries@gcfund.org. We will get back to you within 5 working days to discuss your submission and the way forward.

Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, in particular to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult [page X](#) of the readiness guidebook ([URL](#)) for more information.

SECTION 2: COUNTRY READINESS LOGICAL FRAMEWORK

Please complete the table below, which enables a country to assess its readiness for the GCF and set targets for strengthening its readiness, including proposed outputs and activities to improve the country's readiness. For further guidance on completing the table, please refer to the guidebook "Accessing the GCF Readiness and Preparatory Support Programme".

OUTCOMES ¹	BASELINE	TARGET	ACTIVITIES <i>(including key outputs or deliverables where applicable)</i>
1. Country capacity strengthened	0-8	0-8	
1.1 NDA/focal point lead effective coordination mechanism	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
1.2 No objection procedure established and implemented	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
1.3 Bilateral agreements between the country and the GCF executed	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
1.4 Monitoring, oversight and streamlining of climate finance	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
2. Stakeholders engaged in consultative processes	0-8	0-8	
2.1 Stakeholders engaged in consultative processes	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
2.2 Country programmes, including adaptation priorities, developed and continuously updated	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
2.3 Stakeholder consultations conducted with equal representation of women	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
2.4 Annual participatory review of GCF portfolio in the country organized	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
3. Direct access realized	0-8	0-8	
3.1 Candidate entities identified and nominated for accreditation	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
3.2 Direct access entity accredited	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	Full assessment regarding the compliance of the GCF Standards (fiduciary, environmental and social safeguards, gender policy) and an Action Plan to address the gaps needed in order to be accredited

¹ Based on decisions: B.08/10, annexes XII, XIII & XIV; B.08/11; B.11/10, annex I; B.12/20, annex I



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			Action plan to be fully compliant with the GCF Standards
3.3 Entity/ies annual/multi-annual work programme developed	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
3.4 Funding proposals through enhanced direct access modality approved	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
4. Access to finance	0-8	0-8	
4.1 Structured dialogue between the NDA/Accredited Entities and the GCF Secretariat organized	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
4.2 Country programmes, concept notes, including on adaptation, developed that implement high-impact priorities identified in INDCs and other national strategies or plans	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
4.3 Project/programme preparation support, including for adaptation, to develop funding proposals provided	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
4.4 Funding proposals, including for adaptation, that are aligned with country priorities approved	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
5. Private sector mobilization	0-8	0-8	
5.1 Private sector engaged in country consultative processes	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
5.2 Enabling environment for crowding-in private sector investments at national, regional and international levels exists	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
5.3 Funding proposals for private sector projects/programmes, including for adaptation, approved	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
5.4 Funding proposals under the Private Sector Facility programmes (small- and medium-sized enterprises)	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	



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<i>and mobilizing funds at scale) submitted and approved</i>			
TOTAL	0-40	0-40	

SECTION 3: ADDITIONAL INFORMATION

Please explain how this grant will help deliver on the country's readiness needs as identified above and build on institutions, processes or existing work already underway in the country (*maximum 500 words*)

One of the gaps Peru needs to address to tackle climate change's impacts and opportunities is increase the accreditation of multiple National Implementing Entities (NIE) in order to attend the prioritized sectors established by the GCF and the NDA. PROFONANPE, which focus on interventions in forest and agriculture activities, is the only NIE in Peru and it is accredited for micro projects (less than US\$ 20 millions) and for a particular function which is project management. Nevertheless, climate change mitigation and adaptation requires not only enough resources to implement the designed activities but also the ability to simultaneously tackle the problem from several dimensions. Therefore, is necessary to increment the accreditation of national entities considering different functions, scales, projects scopes so that as a country we could consider the presentation of a variety of projects that address the climate change issues that Peru has to face as a vulnerable country to the climate change effects. Thus, this grant will be circumscribed to the accreditation of direct access entities.

SECTION 4: BUDGET, PROCUREMENT, IMPLEMENTATION AND DISBURSEMENT

OUTCOMES (same as in section 2)	ACTIVITIES (same as in section 2)	TOTAL COST (per activity)	COST CATEGORIES				EXPENDITURE AND IMPLEMENTATION SCHEDULE (add columns if >24 months)			
			Consultants	Travel	Workshops/ Trainings	Others	6m	12m	18m	24m
Gap assessment against compliance with the GCF Standards	Undertake assessment of the entity's conformity at the institutional level with the Fund's fiduciary standards Indicator: Gap Assessment against the Green Climate Fund's fiduciary standards Baseline: We have done a preliminary review of the fiduciary requirements, but we need an in deep review of the AML, KYC, investigations, and other policies Targets: - Complete and upload supporting documentation of sections 1,2,3, and 4 of the OAS. - Fiduciary gap assessment	16,579	Costs provided by PwC including site visit				16,579			
	Undertake assessment of the entity's institutional capacities for conformity with the Fund's environmental and social safeguards Indicator: Gap Assessment against the Green Climate Fund's environmental and social safeguards	4.597	Costs provided by PwC including site visit				4.597			



<p>Baseline: We need to understand how the national legislation and our E&S system is aligned with the IFC PS, and if we are able to meet the Risk Category B or A.</p> <p>Targets:</p> <ul style="list-style-type: none"> - Complete and upload supporting documentation of section 5 of the OAS. - ESS Gap Assessment 								
<p>Undertake assessment of the entity's institutional capacities for conformity with the Fund's gender policy</p> <p>Indicator: Gap Assessment against the Green Climate Fund's gender policy</p> <p>Baseline: We need to develop a Gender policy and analyse the portfolio with gender consideration.</p> <p>Targets:</p> <ul style="list-style-type: none"> - Complete and upload supporting documentation of section 7 of the OAS. - Gender Gap Assessment 	1,519	Costs provided by PwC including site visit			1,519			
<p>Project management, and site visit of consultants developing the gap assessment and action plan</p> <p>Indicator: Gap Assessment against the different divisions and upload additional information requested in the OAS</p> <p>Baseline: We will put our efforts providing a maximum of information in the OAS and total availability for the site visit.</p> <p>Targets:</p>	10,720	Costs provided by PwC including site visit			10,720			



	Develop further policies and procedures, and/or upload the additional information needed for the accreditation process in the OAS									
Action plan and recommendations identified to be compliant with the GCF Standards	<p>Approval of the Action Plan and recommendations</p> <p>Indicator: Action plan against GCF standards</p> <p>Baseline: Need to identified the gaps to be able to develop an action plan to meet the GCF accreditation standards</p> <p>Targets:</p> <ul style="list-style-type: none"> - New policies, procedures developed and recommendations taken under account by the institution. - Complete and upload supporting documentation to the OAS. 	4,592	Costs provided by PwC including site visit				4,592			
CONTINGENCY (UP TO 5%)										
PROJECT MANAGEMENT COSTS (UP TO 10%)										
TOTAL		33,415					33,415			



Procurement plan

For goods or services to be procured/hired, list the items to be procured/hired (including consultants), the estimated cost of each item, and the procedure to be used (i.e. direct procurement, open tender, shopping, other and related thresholds). Please include the procurement plan for at least the first tranche of disbursement requested below.

Disbursement schedule

Specify the proposed schedule for requesting disbursements from the GCF, including amounts and periodicity. For amounts requested, keep to multiples of USD 5,000, and for periodicity, specify whether it's quarterly, bi-annually or annually only.

Additional information



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This box provides an opportunity to include further explanations related to the budget, procurement plan and disbursement schedule, including any details on the assumptions to justify costs presented in the budget.



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SECTION 5: IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

Please attach an “implementation map” or describe how funds will be managed by the NDA/FP or delivery partner

If the entity implementing the readiness support is not an accredited entity of the GCF, please complete the Financial Management Capacity Assessment (FMCA) questionnaire ([URL](#)) and submit it with this proposal.

Other relevant information

This box provides an opportunity to include any important information you wish to bring to the attention of the GCF Secretariat, but did not have an opportunity to provide in the sections above.



PERÚ

Ministerio de
Economía y Finanzas

Viceministro
de Economía

Dirección General de Asuntos
de Economía Internacional,
Competencia y Productividad

“DECENIO DE LAS PERSONAS CON DISCAPACIDAD EN EL PERÚ”
“AÑO DE LA CONSOLIDACIÓN DEL MAR DE GRAU”

Lima, 14 SEP 2016

OFICIO N° 187-2016-EF/62.01

Mr. Javier Manzanares
Executive Director
GREEN CLIMATE FUND
G Tower, 24-4 Songdo-dong, Yeonsu-gu
Incheon City, Republic of Korea

Subject : Approval of deployment of readiness funding for preparation of entity to apply for accreditation to the Green Climate Fund.

Dear Mr. Manzanares,

Pursuant to paragraph (l) of Decision B.08/11, and subject to the cap on readiness commitments specified in paragraph (f) of Decision B.08/11, the National Designated Authority of Peru, duly designated pursuant to the letter from the Ministry of Foreign Affairs of Peru to the Fund dated on June 24th - 2016, approve the deployment of readiness funding through Price Waterhouse Cooper to prepare the entity below to apply for accreditation by the Fund.

Legal Name of the applicant entity:
Corporación Financiera de Desarrollo S.A. – COFIDE

Contact person:
Carlos Abel Paredes Salazar

Contact details:
Telephone: (511) 6154000 Ext. 2902
Full Office address: Augusto Tamayo N° 160, San Isidro – Lima
Email: cparedes@cofide.com.pe

Sincerely,

JAVIER ROCA FABIÁN
Director General
Dirección General de Asuntos de Economía
Internacional, Competencia y Productividad