

Readiness Proposal

**with the United Nations Environment Programme (UNEP)
for the Republic of Niger**

01 June 2018 | NDA Strengthening & Country Programming



**GREEN
CLIMATE
FUND**

Readiness and Preparatory Support Proposal

How to complete this document?

- A [readiness guidebook](#) is available to provide information on how to access funding under the GCF Readiness and Preparatory Support programme. It should be consulted to assist in the completion of this proposal template.
- This document should be completed by National Designated Authorities (NDAs) or focal points with support from their delivery partners where relevant.
- Please be concise. If you need to include any additional information, please attach it to the proposal.
- Information on the indicative list of activities eligible for readiness and preparatory support and the process for the submission, review and approval of this proposal can be found on pages 11-13 of the guidebook

Where to get support?

- If you are not sure how to complete this document, or require support, please send an e-mail to countries@gcfund.org. We will aim to get back to you within 48 hours.
- You can also complete as much of this document as you can and then send it to countries@gcfund.org. We will get back to you within 5 working days to discuss your submission and the way forward.

Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, in particular to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult page 4 of the readiness guidebook for more information.

SECTION 1: SUMMARY	
1. Country submitting the proposal	<p>Country name: Niger Name of institution (representing National Designated Authority or Focal Point): National Council on Environment for Sustainable Development (CNEDD – French acronym) Name of official: Dr Kamayé Maâzou Position: CNEDD’s Executive Secretary and UNFCCC Focal Point</p> <p>Telephone: (+227) 20 722 559 Email: kamayemaazou@yahoo.fr and kmaazou@gmail.com Full Office address:</p>
2. Date of initial submission	05/10/2016
3. Last date of resubmission (if applicable)	07/05/2018
4. Which entity will implement the Readiness and Preparatory Support project? (Provide the contact information if entity is different from NDA/focal point)	<p><input type="checkbox"/> National Designated Authority <input checked="" type="checkbox"/> Delivery partner <input type="checkbox"/> Accredited entity</p> <p>Name of institution: UNEP Name of official: Barney Dickson Position: Head, Climate Change Adaptation Unit Telephone: +254 20 762 3545 Email: barney.dickson@unep.org Full Office address: Ecosystems Division, UNEP, P. O. Box 30552, (00100) Nairobi, Kenya</p>
5. Title of the Readiness and Preparatory Support Proposal	Building Niger’s engagement with the GCF: Establishment and strengthening of the NDA, and elaboration of a country programme identifying strategic priorities
6. Brief summary of the request (200 words)	<p>Niger is a Sahelian country, where climatic constraints are a major concern for socio-economic development. Indeed, Niger is characterized by a high variability of both spatial and temporal climatic parameters, including rainfall. It has therefore become imperative that the issue of climate change is taken into account in the development planning process in order to build resilience to climate change. To meet the identified climate-related challenges, Niger wants to invest in climate-smart development, and is hence getting ready to access Green Climate Fund (GCF) resources.</p> <p>The requested Readiness Programme aims at strengthening the capacity of the recently nominated GCF Focal Point of Niger and the development of strategic frameworks, including a country programme identifying country priorities, in order to enable effective engagement with the Fund. The NDA requires significant capacity building in order to develop a thorough understanding of the functioning of the Fund and the requirements for effectively engaging with it, and to then relay the acquired knowledge to other relevant national stakeholders. Furthermore, adaptation and mitigation programming priorities that are consistent with the GCF’s Initial Investment Framework, need to be defined in a coherent way through a multi-stakeholder process that builds on existing relevant initiatives, under the guidance of the NDA. This work will build on national climate change strategies, plans, and priorities are already formulated in several official documents. Altogether they constitute the basis for a country programme, which is the cornerstone for the preparation, implementation and consolidation of resulting projects/programmes.</p> <p>Strategic outcomes of the Readiness Programme include the strengthened capacity of the NDA to undertake Fund-related responsibilities and engage national stakeholders, and the availability of a country programme identifying strategic priorities for engagement with the Fund.</p>

7. Total requested amount and currency	300,000 US\$
8. Anticipated duration	18 months
9. Is the country receiving other Readiness and Preparatory Support related to the GCF?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	<p>If yes, please attach the relevant scope of work, and briefly (100 words) describe the scope of support provided by other institutions</p>



SECTION 2: COUNTRY READINESS LOGICAL FRAMEWORK

Please complete the table below, which enables a country to assess its readiness for the GCF and set targets for strengthening its readiness, including proposed outputs and activities to improve the country's readiness. For further guidance on completing the table, please refer to the guidebook "Accessing the GCF Readiness and Preparatory Support Programme".

OUTCOMES ¹	BASELINE	TARGET	ACTIVITIES <i>(including key outputs or deliverables where applicable)</i>
1. Country capacity strengthened	2-8	7-8	
1.1 NDA/focal point lead effective coordination mechanism	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<p>A) Conduct trainings to strengthen the knowledge and capacities of the NDA coordination team to fulfil its roles and responsibilities through training on e.g. the NDA role and functions, GCF priorities and functions, stakeholder engagement and coordination, climate science, climate finance, project development, and planning</p> <ul style="list-style-type: none"> - Conduct a capacity needs assessment (baseline) on the capacities of NDA to fulfil its role and functions. <i>(International Consultant, 3 workshops on i)NDA roles and functions ii) climate finance, iii) project development, and planning</i> - Developing NDA Website, equipment and database management <p>- B) Designing and setting up (or building on existing inter-ministerial mechanism) an NDA-led coordination mechanism for effective coordination of national priorities <i>Training of NDA staff with representatives of line ministries and other institutions on how to prioritize and compile priorities)</i></p> <ul style="list-style-type: none"> - <i>(Workshop with line ministries and other institutions facilitated by local consultant)</i> - <i>Local Consultant to work on activities 1.3 and 1.4</i> - <p>C) Identifying or establishing mechanisms for effective stakeholder engagement and coordination, and the ongoing engagement of stakeholders (including government, civil society and private sector actors) at national and subnational levels;</p> <ul style="list-style-type: none"> - <i>(One day workshop with relevant stakeholder representatives)</i> - <i>(Input from local consultant from activity 1.3)</i> <p>- D) Sharing information, experiences and lessons learned with other countries in the region, e.g. through workshops, exchange visits and/or online platforms (Exchange visits and regional GCF meetings). <i>(Proposed exchange trip to Mali or other country in the region with well advanced GCF engagement.</i></p>

¹ Based on decisions: B.08/10, annexes XII, XIII & XIV; B.08/11; B.11/10, annex I; B.12/20, annex I

			<p><i>Two participants over maximum three days.)</i></p> <p>-</p> <p>-</p>
1.2 No objection procedure established and implemented	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<ul style="list-style-type: none"> - Developing a national process and mechanisms for the promotion, review and selection of funding proposals, including a methodology to help NDA screen and select projects; (development of project selection tool) - Establishing a no-objection procedure through consultations with NDA and other key stakeholders - Training of NDA staff and other key actors on the funding proposal processes and no-objection procedure - <i>(One international consultant)</i> - <i>(One validation workshop)</i>
1.3 Bilateral agreements between the country and the GCF executed	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<ul style="list-style-type: none"> - Developing and implementing the Privileges & Immunities Agreement between the country and the GCF: Dialogue with GCF Secretariat, establishment of coordination with Ministry of Foreign Affairs
1.4 Monitoring, oversight and streamlining of climate finance	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<ul style="list-style-type: none"> - 1.4 Identifying and/or establishing appropriate systems for the monitoring/oversight, evaluation, reporting, and streamlining of GCF and other climate finance mechanisms in the country, and building capacity to undertake these functions. <i>(International Consultant to develop country specific M&E tools and guidelines)</i>
2. Stakeholders engaged in consultative processes	3-8	8-8	
2.1 Stakeholders engaged in consultative processes	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<p>Organising gender balanced trainings, workshops and consultations with relevant non-state actors from the civil society (including reps of indigenous people), academia and private sector (including micro, small, medium enterprises) on GCF-related issues and the country programme development</p> <p>Disseminating information on the country programme process to stakeholders</p> <p><i>Two workshop, 30pax approx.. over two days spread regionally to inform country programme</i></p> <p><i>Third workshop to validate country programme</i></p>

			- <i>(International Consultants for activity 2.2 to work on activity 2.1 with the assistance of one local consultant)</i>
2.2 Country programmes, including adaptation priorities, developed and continuously updated	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	- 2.2 Cataloguing the government's mitigation and adaptation priorities contained within its strategic policy documents, sectoral programmes, national communications, NAPAs, and NAMAs, - Developing a Country programme that distinguishes the country's strategic priorities against the Fund's Initial Results Management Framework; Identifying programmes and projects that advance the Country programme and formulating concept notes (Workshop) -Producing a lessons learned document for Niger's first GCF readiness request - <i>(Validation workshop)</i>
2.3 Stakeholder consultations conducted with equal representation of women	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	- Consolidated under Outcome 2.1
2.4 Annual participatory review of GCF portfolio in the country organized	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	- 4Developing processes for the annual participatory review of GCF portfolio in the country; Organizing and engaging relevant stakeholders in an annual stocktaking workshop on the GCF portfolio. (Annual Workshop). - <i>(Local consultant to develop guidelines, One Workshop)</i>
3. Direct access realized	1-8	1-8	
3.1 Candidate entities identified and nominated for accreditation	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	Identifying the appropriate accreditation level for prospective entities that may be accredited by the Fund in implementing Niger's programming priorities <i>Local Consultant</i>
3.2 Direct access entity accredited	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	The activity will be undertaken in forthcoming readiness requests
3.3 Entity/ies annual/multi-annual work programme developed	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	The activity will be undertaken in forthcoming readiness requests
3.4 Funding proposals through enhanced direct access modality approved	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	The activity will be undertaken in forthcoming readiness requests
4. Access to finance	0-8	2-8	
4.1 Structured dialogue between the NDA/Accredited Entities and the GCF Secretariat organized	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	- A quarterly dialogue is established between the NDA, the AEs operating in Niger and the GCF secretariat through regular planned meetings
4.2 Country programmes, concept notes, including on adaptation, developed that implement high-	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	- See previous outcomes for preparation of country programme and related projects' concept notes.

<i>impact priorities identified in INDCs and other national strategies or plans</i>			
4.3 Project/programme preparation support, including for adaptation, to develop funding proposals provided	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	- Activities will be undertaken in forthcoming readiness requests
4.4 Funding proposals, including for adaptation, that are aligned with country priorities approved	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	- Activities will be undertaken in forthcoming readiness requests
- Private sector mobilization	1-8	2-8	
5.1 Private sector engaged in country consultative processes	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	- Identifying opportunities to engage the private sector, including micro, small and medium-sized enterprises, in country consultative processes, and to leverage their capacity to implement programming priorities (To be budgeted under Outcome 2.1)
5.2 Enabling environment for crowding-in private sector investments at national, regional and international levels exists	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	- Develop Terms of Reference for carrying out a study on favourable regulatory frameworks to engage the private sector in national climate change priorities
5.3 Funding proposals for private sector projects/programmes, including for adaptation, approved	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	- Include the private sector project/programme proposal in the national validation process / make sure private sector projects are part of the national no objection/ validation process
5.4 Funding proposals under the Private Sector Facility programmes (small- and medium-sized enterprises and mobilizing funds at scale) submitted and approved	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	-Activities will be undertaken in forthcoming readiness requests
TOTAL	7-40	20-40	

SECTION 3: ADDITIONAL INFORMATION

Please explain how this grant will help deliver on the country's readiness needs as identified above and build on institutions, processes or existing work already underway in the country *(maximum 500 words)*

Please explain how the readiness request will have an impact in your country and align with the goals of the readiness program. Please also discuss how the proposed activities are aligned with country priorities and strategies, and how link with existing climate finance initiatives in country.

Niger is committed to contributing to the global effort to reduce greenhouse gas (GHG) emissions and increase its resilience to climate change by implementing coherent programmes to become an emerging economy by 2030. The big challenge, however, is how to strengthen its adaptation capabilities while transitioning from its current development model (fossil fuel based) to a low carbon development pathway.

Despite being an LDC and its huge adaptation needs, Niger has not been able to elaborate and implement its National Adaptation Plan (NAP) and its Nationally Appropriate Mitigation Actions (NAMA) framework to date. With the operationalization of the GCF, Niger wishes to request support in order to strengthen the country's systems and accelerate the preparation of projects or programmes for financing by the GCF.

The NDA needs to play effectively the role of interface between the GCF and Niger. The NDA requires significant strengthened capacity to develop a thorough understanding of the functioning of the Fund and the requirements for effectively engaging with it, and then relay the acquired knowledge to other relevant national stakeholders. Hence the request for readiness support for activity area 1

Adaptation and mitigation programming priorities, that are consistent with the GCF's Initial Investment Framework, need to be defined in a coherent way through a multi-stakeholder process that builds on existing relevant initiatives, under the guidance of the NDA. Hence the needs for support to develop a country programme (activity area 2).

The work to be undertaken under the Readiness Programme builds on existing institutions and past and ongoing climate change initiatives in the country. From an institutional perspective, Niger established the CNEDD - Conseil National de l'Environnement pour un Développement Durable (National Council on Environment for Sustainable Development) - in order to implement the UNFCCC Convention. The CNEDD is the NDA for the GCF.

With regard to policy and strategic response to climate change challenge, national climate change strategies, plans, and priorities are already formulated in several official documents. Altogether they constitute the basis for a country programme, which is the cornerstone for the preparation, implementation and consolidation of resulting projects/programmes. These documents include:

- The 2000 National Environment Plan for Sustainable Development (PNEDD in French acronym);
- The initial and second National Communication on Climate Change in 2000 and 2009 respectively;
- The 2003 National Strategy and Action Plan for Climate Change and Climate Variability (SN/PA/CVC in French acronym);
- The National Adaptation Programme of Action (NAPA) in 2006;
- The National Policy on Climate Change in 2012 and its Communication Strategy;
- The 10-year Plan on Sustainable Consumption and Production Patterns in 2013 (PN/MCPD in French acronym);
- The Pilot Program for Climate Resilience (PPCR) document;
- The Intended Nationally Determined Contributions (INDC) document.

Despite the above efforts, Niger's capacity to assess and effectively manage risks associated with climate change is not sufficiently developed to create an enabling environment where decision-making on political and social issues support the formulation and implementation of effective solutions to address complex multi-sectoral impacts of climate change. Also, the mobilisation of adequate climate financing needs to be strengthened in the country. The Readiness Programme is expected to contribute to addressing these gaps.

If consultancy service is required to undertake proposed activities, please also include a brief terms of reference of the service in this section or as an annex.

The terms of reference of the consultancy services will be provided with the first disbursement request.



**READINESS AND PREPARATORY SUPPORT
PROPOSAL TEMPLATE**

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OUTCOMES <small>(same as in section 2)</small>	ACTIVITIES <small>(same as in section 2)</small>	TOTAL COST USD <small>(per activity)</small>	COST CATEGORIES	EXPENDITURE AND IMPLEMENTATION SCHEDULE <small>(add columns if >24 months)</small>					
			Consultants	Travel	Workshops/ Trainings	Others	6m	12m	18m
Country capacity strengthened	- 1.1 A) Conduct trainings to strengthen the knowledge and capacities of the NDA coordination team to fulfil its roles and responsibilities through training on e.g. the NDA role and functions, GCF priorities and functions, stakeholder engagement and coordination, climate science, climate finance, project development, and planning <i>-- Conduct a capacity needs assessment (baseline) on the capacities of NDA to fulfil its role and functions. (International Consultant, 3 workshops on i)NDA roles and functions ii) climate finance, iii) project development, and planning</i> - Developing NDA Website, equipment and database management -Procure 4 Laptops, 1 projector, 1 copier, 1 Camera and office Stationery	54,000	20,000 (International consultant 4,000 (Local Consultant)	5,000	12,000	15,000 (Office Equipment and stationary)	34,000	22,000	

<ul style="list-style-type: none"> - B) Designing and setting up (or building on existing inter-ministerial mechanism) an NDA-led coordination team for effective coordination of national priorities (<i>Workshop with line ministries and other institutions facilitated by local consultant</i>) - <i>-Training of NDA staff with representatives of line ministries and other institutions on how to prioritize and compile priorities)</i> - <i>Local Consultant to work on activities 1.3 and 1.4</i> - <i>50 Participants</i> 	16,000	5,000 Budget for National Consultant	1,000	8,000	2,000 Translation and printing	6,000	10000	
<p>C) Identifying or establishing mechanisms for effective stakeholder engagement and coordination, and the ongoing engagement of stakeholders (including government, civil society and private sector actors) at national and subnational levels;</p> <ul style="list-style-type: none"> - <i>(One day workshop with relevant stakeholder representatives)</i> - <i>(Input from local consultant from activity 1.3)</i> 								
<ul style="list-style-type: none"> - D) Sharing information, experiences and lessons learned with other countries in the region, e.g. through workshops, exchange visits and/or online platforms (Exchange visits and regional GCF meetings). - <i>(Proposed exchange trip to Mali or other country in the region with well advanced GCF engagement. Two participants over maximum three days.)</i> 	8,000		8,000 (UNEP to arrange exchange trip)					8,000

<ul style="list-style-type: none"> - 1.2 Developing a national process and mechanisms for the promotion, review and selection of funding proposals, including a methodology to help NDA screen and select projects; (development of project selection tool) - Establishing a no-objection procedure through consultations with NDA and other key stakeholders - Training of NDA staff and other key actors on the funding proposal processes and no-objection procedure - <i>(One international consultant)</i> - <i>(One validation workshop)</i> - <i>One funding proposal workshop for NDA staff</i> 	40,000	25,000 Budget for International Consultant	5,000	8,000	2,000	25,000	13,000	2000
<p>1.3. Developing and implementing the Privileges & Immunities Agreement between the country and the GCF: Dialogue with GCF Secretariat, establishment of coordination with Ministry of Foreign Affairs (Local legal Consultant to revise Privileges and Immunities Agreement)</p>	3,000	3,000 Budget for National Consultant					3,000	
<p>1.4 Identifying and/or establishing appropriate systems for the monitoring/oversight, evaluation, reporting, and streamlining of GCF and other climate finance mechanisms in the country, and building capacity to undertake these functions. . <i>(International Consultant to develop country specific M&E tools and guidelines)</i> <i>1 Workshop to Validate the M&E tool</i></p>	22,000	15,000 Budget for International Consultant	2000	3000	2000	15,000	7000	

Stakeholders engaged in consultative processes	2.1 Organising gender balanced trainings, workshops and consultations with relevant non-state actors from the civil society (including reps of indigenous people), academia and private sector (including micro, small, medium enterprises) on GCF-related issues and the country programme development Disseminating information on the country programme process to stakeholders <i>Two workshop, 30pax approx.. over two days spread regionally to inform country programme</i> <i>Third workshop to validate country programme</i> <i>(International Consultants for activity 2.2 to work on activity 2.1 with the assistance of one local consultant</i> -	30,000	6,000 Budget for National Consultant	5,000	15,000	4,000 Printing and Translation	15,000	11,000	4,000
	- 2.2 Cataloguing the government's mitigation and adaptation priorities contained within its strategic policy documents, sectoral programmes, national communications, NAPAs, and NAMAs, - Developing a Country programme that distinguishes the country's strategic priorities against the Fund's Initial Results Management Framework;; Identifying programmes and projects that advance the Country programme and formulating one concept note (Workshop) -International consultant -Local consultant - (<i>Validation workshop</i>)	49,000	35,000 (Budget for international consultant) 5,000 (Budget for national consultant)	5,000	7,000	2000 (Translation and Printing)	20,000	27000	2,000
	- 2.4Developing processes for the annual participatory review of GCF portfolio in	6,000	2,000 (National consultant)	1,000	3,000				6000

	the country; Organizing and engaging relevant stakeholders in an annual stocktaking workshop on the GCF portfolio. (Annual Workshop). <i>(Local consultant to develop guidelines, One Workshop)</i>								
Direct access realized	3.1 Identifying the appropriate accreditation level for prospective entities that may be accredited by the Fund in implementing Niger's programming priorities Candidate Entities identified and nominated for accreditation International Consultant <i>Local Consultant</i>	5,000	5,000 (National Consultant)						5000
115Access to finance	-4.1 A quarterly dialogue is established between the NDA, the identified AEs operating in Niger and the GCF secretariat through regular planned meetings - 3workshop with representatives of identified AEs and NDA and National Consultant	4000			4000				4000
Private sector mobilization	Identifying opportunities to engage the private sector, including micro, small and medium-sized enterprises, and to leverage their capacity to implement programming priorities <i>(Local Consultant)</i> - Develop Terms of Reference for carrying out a study on favourable regulatory frameworks to engage the private sector in national climate change priorities <i>(International Consultant)</i>	6,000	6,000 (International consultant)						6,000

	Include the private sector project/programme proposal in the national validation process / make sure private sector projects are part of the national no objection/ validation process (to be budgeted under outcome 1.2)	-							
	Total Activities	245,000	126,000	32,000	60,000	27,000	115,000	90,000	40,000
PROJECT MANAGEMENT (8% of the total activity budget)	Admin and Financial Assistant (18 months)	19,600	9,000				10,000	5,600	4,000
	Communications and Travel			5600		1,000			
	Audit Costs		4000						
Contingency		8100					4000	4100	
Delivery Partner Fee		27300					9100	9100	9100
TOTAL		300,000	139,000	37,600	60,000	28,000			

***Consultants include:** Two international consultants and 5 national consultants (see procurement section for more information)will be recruited to undertake specific and specialised activities. This maybe be revised upon further consultations with the NDA

****Other cost categories include:** Computers, Website development, Printers, PowerPoint Projector, Stationary/Office Supplies, Outreach Materials and Printing, International Training for NDA staff.

Number of Trips: 2trips to Niger and 2 trips within Niger by international consultants including similar number of trips for national consultants and NDA staff. This maybe be revised upon further consultations with the NDA

- As Delivery Partner for this Readiness proposal, UN Environment will comply with its obligations under the Framework Readiness and Preparatory Support Agreement signed between UN Environment and the GCF.



- UN Environment, as the Delivery Partner, will be responsible for the implementation of the activities under this readiness and preparatory support proposal, and will monitor the execution of the above activities in accordance with its obligations under the Framework Readiness and Preparatory Support Agreement.

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Procurement plan

For goods or services to be procured/hired, list the items to be procured/hired (including consultants), the estimated cost of each item, and the procedure to be used (i.e. direct procurement, open tender, shopping, other and related thresholds). Please include the procurement plan for at least the first tranche of disbursement requested below.

Procurement

Overall financial management and procurement of goods and services under this readiness and preparatory support proposal will be guided by UN Environment’s rules and regulations as well as its programme manual for nationally implemented project modalities. Further, procurement of goods and services will follow the general principles stated under clause 7 of Framework Readiness and Preparatory Support Grant Agreement (Framework Agreement) between Green Climate Fund (GCF) and UN Environment. UN Environment will comply with its obligation under clause 7(a) of the Framework Agreement, which states “The procurement of Goods and Services for Approved Readiness Support Proposals, whether by the Delivery Partner or by a third party, shall be done in accordance with the rules, policies and procedures of the Delivery Partner.”

For this readiness and preparatory support proposal, procurement of services of technical international and national consultants will be directly managed by UN Environment, in consultation with the National Council on Environment for Sustainable Development and the GCF’s National Designated Authority (NDA). The procurement plan is as follows

Disbursement schedule

Specify the proposed schedule for requesting disbursements from the GCF, including amounts and periodicity. For amounts requested, keep to multiples of USD 5,000, and for periodicity, specify whether it’s quarterly, bi-annually or annually only.

The project is intended to run from April 1, 2018 – September 30 2019.

UN Environment as the Delivery Partner for this Readiness and Preparatory Support Proposal will submit requests to GCF for disbursements of grants in accordance with the Framework Readiness and Preparatory Support Agreement. Disbursement request will be signed by the authorised representative of the UN Environment and will include details of the bank account into which the grant will be deposited. UN Environment, the Delivery Partner for this R&P Support Proposal for Niger, will administer the grant disbursed by the GCF in accordance with UN Environment’s financial rules, regulation and procedures including maintenance of records of grant, disbursements and expenditure.

UN Environment will follow the disbursement schedule as per the Framework Readiness and Preparatory Support Grant Agreement between the GCF and UN Environment.

UN Environment will allocate disbursed grant for procuring goods and services required to implement activities of the approved Readiness and Preparatory Support Proposal and will follow procedures and guidelines stated under clause 5 (Use of Grant Proceeds by the Delivery Partner) of Framework Readiness and Preparatory Support Grant Agreement between Green Climate Fund (GCF) and UN Environment.

Additional information

This box provides an opportunity to include further explanations related to the budget, procurement plan and disbursement schedule, including any details on the assumptions to justify costs presented in the budget.

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4.2. Procurement Plan

For goods, services, and consultancies to be procured, please list the items, descriptions in relation to the activities in section 2, estimated cost, procurement method, relevant threshold, and the estimated dates. Please include the procurement plan for at least the first tranche of disbursement requested below. Also, please feel free to replicate this table on Excel spreadsheet if needed.

ITEM	ITEM DESCRIPTION	ESTIMATED COST (US \$)	PROCUREMENT METHOD	THRESHOLDS <i>(Min-Max monetary value for which indicated procurement method must be used)</i>	ESTIMATED START DATE	PROJECTED CONTRACTING DATE
Goods and Non-Consulting Services						
	Workshop venues and catering	60,000	Shopping	Low Value Procurement <10,000 per purchase Order	August 2018	
	Translation and printing	12000	Shopping	Direct Procurement through UN Print Shop	September 2018	
	Travel	37,600	Shopping	Open Tender Shopping	August 2018	
	Office Equipment and Stationery	16000	Shopping	Open Tender – Use of existing “Systems Contract”	October 2018	
SUB-TOTAL (US \$)		125,600				
Consultancy Services						
	2 National consultant: Country Readiness consultant	18,000	Open tender	No Min-Max, all consultancy services irrespective of value are subject to same open tender process	August 2018	
	1 National Legal Consultant	3,000		No Min-Max, all consultancy services irrespective of value are subject to same open tender process	January 2019	
	1 National Admin and financial assistant	9,000	Open tender	No Min-Max, all consultancy services irrespective of value are subject to same open tender process	August 2018	
	1 National consultant: Web design and Communication materials layout	4,000	Open tender	No Min-Max, all consultancy services irrespective of value are subject to same open tender process	February 2019	
	National Auditor	4,000		No Min-Max, all consultancy services irrespective of value are subject to same open tender process	August 2019	
	International consultant:	60,000	Open tender	No Min-Max, all consultancy services	August 2018	



**READINESS AND PREPARATORY SUPPORT
PROPOSAL TEMPLATE**

	Institutional capacity development			irrespective of value are subject to same open tender process		
	International consultant: country programming specialist	41,000	Open tender	No Min-Max, all consultancy services irrespective of value are subject to same open tender process	August 2018	
SUB-TOTAL (US \$)		139,000				
TOTAL COST (US \$)		264,600				

SECTION 5: IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

Please attach an "implementation map" or describe how funds will be managed by the NDA/FP or delivery partner

If the entity implementing the readiness support is not an accredited entity of the GCF, please complete the [Financial Management Capacity Assessment \(FMCA\)](#) questionnaire and submit it with this proposal.

UN Environment will manage the funds for the activities under this readiness agreement. UN Environment will agree on a plan with the CNEED to monitor the implementation of the activities using the grant proceeds. However, UN Environment will be responsible for the implementation of the activities under this readiness and preparatory support proposal.

A UN Environment Programme Officer (PO) will be responsible for project oversight and supervision, and to ensure consistency with GCF and UN Environment policies and procedures. The functions of the PO will include, but will not be limited to the following: i) participating in the Annual Project Steering Committee (PSC) meetings; ii) facilitating the final evaluations; iii) clearing the Progress Reports and Project Implementation Reviews; and iv) undertaking the technical review of project deliverables v) providing input to periodic readiness portfolio reporting to GCF; vi) prepare requests for disbursements etc

UN Environment will submit semi-annual progress reports to the GCF in accordance with the terms of the Framework R&P Support Grant Agreement between GCF and UN Environment.

Other relevant information