

Readiness Proposal

with Fundación Reservas del País for Dominican Republic

22 June 2015 | NDA Strengthening & Country Programming



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Readiness and Preparatory Support Proposal

How to complete this document?

- A short guidebook is available to provide guidance on how to access funding under the GCF Readiness and Preparatory Support programme. It should be consulted to assist in the completion of this proposal template.
- This document should be completed by National Designated Authorities (NDAs) or focal points with support from their delivery partners where relevant.
- Please be concise. If you need to include any additional information, please attach it to the proposal.
- Information on the indicative list of activities eligible for readiness and preparatory support and the process for the submission, review and approval of this proposal can be found on the guidebook

Where to get support?

- If you are not sure how to complete this document, or require support, please send an e-mail to countries@gcfund.org. We will aim to get back to you within 48 hours.
- You can also complete as much of this document as you can and then send it to countries@gcfund.org. We will get back to you within 5 working days to discuss your submission and the way forward.

Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, in particular to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult the readiness guidebook for more information.

SECTION 1: SUMMARY	
1. Country submitting the proposal	Country name: Name of institution (representing National Designated Authority or Focal Point): Ministry of Environment and Natural Resources Name of official: Francisco Domínguez Brito Position: Minister Telephone: +1 809 567 4300 Email: ministro@ambiente.gob.do cambio.climatico@ambiente.gob.do Full Office address: Ave. Cayetano Germosén, esq. Ave. Gregorio Luperón, El Pedregal, Santo Domingo, Dominican Republic.
2. Date of initial submission	10/03/2016
3. Last date of resubmission (if applicable)	September, 2016
4. Which entity will implement the Readiness and Preparatory Support project? (Provide the contact information if entity is different from NDA/focal point)	<input type="checkbox"/> National Designated Authority <input checked="" type="checkbox"/> Delivery partner <input type="checkbox"/> Accredited entity Name of institution: Fundación Reservas del País Name of official: Rosa Rita Alvarez K Position: Executive Director Fundación Reservas Telephone: +1 809 566 3674 Email: rralvarez@banreservas.com Full Office address: Gustavo Mejía Ricart Ave., No.124, Bomosa Building, 1er. Piso, Piantini, Santo Domingo, Dominican Republic.
5. Title of the Readiness and Preparatory Support Proposal	Strengthening National Capacities through the Climate Change Readiness Support Program in the Dominican Republic
6. Brief summary of the request (200 words)	As a tropical island, the DR is especially vulnerable to the effects of climate change; extreme climatic events have the potential to severely disrupt the path of social and economic development in the country. In this context, the Government of the Dominican Republic has made an effort to provide the Ministry of Environment and Natural Resources with a structure and legal mandate to tackle climate change, going from being a Secretariat of State to have Ministerial rank in recent years. Even as the ministry has more than 4,000 employees, divided in 5 deputy ministries in each area of work, it faces capacity challenges associated with fulfilling its specific tasks as National Designated Authority to the GCF. In particular, the NDA will require support to strengthen its capacity to coordinate with other government institutions, building on the Climate Change Council, an inter-agency body focusing on the development of a climate change agenda for the country, and in consultation with all relevant stakeholders. The support will help strengthen knowledge of NDA staff, particularly the Climate Change Direction, about the operational policies and procedures of the Green Climate Fund, and help to incorporate them into the daily practice of ministry.
7. Total requested amount and currency	US\$ 300,000
8. Anticipated duration	12 Months
9. Is the country receiving other Readiness and Preparatory Support related to the GCF?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	If yes, please attach the relevant scope of work, and briefly (100 words) describe the scope of support provided by other institutions

SECTION 2: COUNTRY READINESS LOGICAL FRAMEWORK

Please complete the table below, which enables a country to assess its readiness for the GCF and set targets for strengthening its readiness, including proposed outputs and activities to improve the country's readiness. For further guidance on completing the table, please refer to the guidebook "Accessing the GCF Readiness and Preparatory Support Programme".

OUTCOMES ¹	BASELINE	TARGET	ACTIVITIES <i>(including key outputs or deliverables where applicable)</i>
1. Country capacity strengthened	0-8	0-8	
1.1 NDA/focal point lead effective coordination mechanism	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	1.1. Consultancy to develop an initial assessment (baseline) on the capacities of NDA to fulfil its role and functions.
1.2 No objection procedure established and implemented	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	1.2. Preparing, publishing and disseminate brochures and other publications, containing operational manuals for national coordination and consultation mechanisms, based on the operative guidelines and norms deemed relevant for the engagement with the GCF.
1.3 Bilateral agreements between the country and the GCF executed	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	1.3. Training course to build knowledge and capacity of the DNA team and personnel involved in the activities of the GCF about the processes of planning, monitoring and reporting on climate finance.
1.4 Monitoring, oversight and streamlining of climate finance	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	1.4. Supporting the NDA in coordinating with other Ministries on Fund related matters, developing and applying No Objection Procedures by the NDA and other pertinent stakeholders. 1.5. Annual report on activities of the Fund and other relevant funding mechanisms and institutions in the country.
2. Stakeholders engaged in consultative processes	0-8	0-8	
2.1 Stakeholders engaged in consultative processes	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	2.1. Workshops with the participations of national and sub-national entities in the public and private sectors to identify and prioritize programs and projects ideas to be submitted for funding by the GCF.
2.2 Country programmes, including adaptation priorities, developed and continuously updated	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	2.2. Promote equal participation of women in projects elaboration in consultations with national stakeholders through workshops of civil society and public institutions.
2.3 Stakeholder consultations conducted with equal representation of women	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	2.3. Conduct national workshops with the participation of key stakeholders to review the actions carried out in support of projects to be submitted to GCF.
2.4 Annual participatory review of GCF portfolio in the country organized	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	
3. Direct access realized	0-8	0-8	
3.1 Candidate entities identified and	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	3.1. Identifying the roles of prospective public and private sector entities that may be accredited by

¹ Based on decisions: B.08/10, annexes XII, XIII & XIV; B.08/11; B.11/10, annex I; B.12/20, annex I

2016

<i>nominated for accreditation</i>			the Fund in implementing Dominican Republic's programming priorities with respect to the Fund.
3.2 Direct access entity accredited	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	3.2. Conduct workshops and support institutions interested and which initiate the accreditation process.
3.3 Entity/ies annual/multi-annual work programme developed	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	
3.4 Funding proposals through enhanced direct access modality approved	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
4. Access to finance	0-8	0-8	
4.1 Structured dialogue between the NDA/Accredited Entities and the GCF Secretariat organized	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	3.3. Dominican Republic's development priorities, including the development of a Country Work Programme identified through consultations and exchanges with respect to the Green Climate Fund consistent with the country's existing Climate Compatible Development Plan and the National Development Strategy 2010-2030, and the Fund's Initial results management framework. 3.4. Identify and helping to develop concept notes for programs and projects that prioritize the NDC.
4.2 Country programmes, concept notes, including on adaptation, developed that implement high-impact priorities identified in INDCs and other national strategies or plans	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	
4.3 Project/programme preparation support, including for adaptation, to develop funding proposals provided	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	
4.4 Funding proposals, including for adaptation, that are aligned with country priorities approved	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	
5. Private sector mobilization	0-8	0-8	
5.1 Private sector engaged in country consultative processes	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2	2.4. Conduct workshops to strengthen cooperation and coordination among stakeholders of the private sector and implementing entities, to identify opportunities of engagement for micro, small and medium-sized enterprises.
5.2 Enabling environment for crowding-in private sector investments at national, regional and international levels exists	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	
5.3 Funding proposals for private sector projects/programmes, including for adaptation, approved	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	
5.4 Funding proposals under the Private Sector Facility programmes (small- and medium-sized enterprises)	<input checked="" type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2	<input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2	



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2016

**READINESS AND PREPARATORY SUPPORT
PROPOSAL TEMPLATE**

PAGE 5 OF 14 | ver. 19 August

<i>and mobilizing funds at scale) submitted and approved</i>			
TOTAL	0-40	0-40	

SECTION 3: ADDITIONAL INFORMATION

Please explain how this grant will help deliver on the country's readiness needs as identified above and build on institutions, processes or existing work already underway in the country (*maximum 500 words*)

Multi-stakeholder consultations or events will be held in accordance with the Fund's initial best-practice options for country coordination and multi-stakeholder engagement. In this context, the readiness support will not only provide the tools to strengthen the role of the Ministry of Environment of the Dominican Republic as NDA, but will also build the momentum for broader engagement of different stakeholders including private sector, civil society and academia. The support will also allow, under the leadership of the NDA broad coordination with Government institutions opening opportunities for greater efficiency in the implementation of resources from the Fund.

The portfolio of programs and projects based on the prioritization of actions that contribute to the achievement of the NDC of the country. In that sense, the Dominican Republic established the following goals and sectors:

- It is expected to reduce GHG emissions by 25% by 2030, based by 2010. To achieve the goal is expected to support favourable, predictable climate finance mechanisms will make viable.

The sectors in which it will focus its emissions reductions will be:

- Energy,
- Industrial processes and product use,
- Farming,
- Waste,
- Changing land use, agriculture and forestry.

On the other hand, the sectors or subject areas for strategic planning approach to adaptation will be:

- Ecosystem-based adaptation / resilience ecosystem,
- Increased adaptive capacity and reduced territorial / sectorial vulnerability,
- Integrated water management,
- Health,
- Food safety,
- Infrastructure,
- Floods and droughts,
- Coastal-marine,
- Risk management and early warning systems.

The sectors identified in the NDC of the Dominican Republic for adaptation and mitigation, are clearly linked to the focal areas of the GCF. In that sense, we are confident that the initiatives that the country submit to the Fund for financing, will contribute to achieving the NDC presented during the cop21 and under the Paris Agreement.

SECTION 4: BUDGET, PROCUREMENT, IMPLEMENTATION AND DISBURSEMENT

OUTCOMES (same as in section 2)	ACTIVITIES (same as in section 2)	TOTAL COST (per activity)	COST CATEGORIES				EXPENDITURE AND IMPLEMENTATION SCHEDULE (add columns if >24 months)			
			Consultants	Travel	Workshops/ Trainings	Others	6m	12m	18m	24m
Outcome 1: Country capacity strengthened	1.1. Consultancy to develop an initial assessment (baseline) on the capacities of NDA to fulfil its role and functions.	14,250.00	14,250.00				14,250.00			
	1.2. Preparing, publishing and disseminate brochures and other publications, containing operational manuals for national coordination and consultation mechanisms, based on the operative guidelines and norms deemed relevant for the engagement with the GCF.	22,550.00	9,950.00			12,600.00	22,550.00			
	1.3. Training course to build knowledge and capacity of the DNA team and personnel involved in the activities of the GCF about the processes of planning, monitoring and reporting on climate finance.	27,275.00	17,275.00			10,000.00	27,275.00			
	1.4. Supporting the NDA in coordinating with other Ministries on Fund related matters, developing and applying No Objection Procedures by the NDA and other pertinent stakeholders.	33,400.00	33,400.00				16,700.00	16,700.00		
	1.5. Annual report on activities of the Fund and other relevant funding mechanisms and institutions in the country.	8,750.00	8,750.00					8,750.00		
Outcome 2: Stakeholders engaged in consultative processes and	2.1. Workshops with the participations of national and sub-national entities in the public and private sectors to identify and prioritize	29,500.00	12,000.00			17,500.00	8,850.00	20,650.00		

private sector mobilization.	programs and projects ideas to be submitted for funding by the GCF.									
	2.2. Promote equal participation of women in projects elaboration in consultations with national stakeholders through workshops of civil society and public institutions.	18,500.00	9,500.00		9,000.00		9,250.00	9,250.00		
	2.3. Conduct national workshops with the participation of key stakeholders to review the actions carried out in support of projects to be submitted to GCF.	11,800.00	11,800.00						11,800.00	
	2.4. Conduct workshops to strengthen cooperation and coordination among stakeholders of the private sector and implementing entities, to identify opportunities of engagement for micro, small and medium-sized enterprises.	15,600.00	9,750.00		6,850.00		7,800.00	7,800.00		
Outcome 3: Access to finance.	3.1. Identifying the roles of prospective public and private sector entities that may be accredited by the Fund in implementing Dominican Republic's programming priorities with respect to the Fund.	13,600.00	13,600.00				13,600.00			
	3.2. Conduct workshops and support institutions interested and which initiate the accreditation process.	17,700.00	11,200.00		6,500.00			17,700.00		
	3.3. Dominican Republic's development priorities, including the development of a Country Work Programme identified through consultations and exchanges with respect to the Green Climate Fund consistent with the country's existing Climate Compatible Development Plan and the National Development Strategy 2010-2030, and the Fund's Initial results management framework.	21,000.00	10,000.00		11,000.00		21,000.00			
	3.4. Identify and helping to develop concept notes for programs and projects that prioritize	18,600.00						18,600.00		



**READINESS AND PREPARATORY SUPPORT
PROPOSAL TEMPLATE**

PAGE 9 OF 14 | ver. 19 August 2016

	the NDC.									
SUBTOTAL		252,525.00	161,475.00	0.0	60,850.00	12,600.00	141,275.00	111,250.00		
EXTERNAL AUDIT		9,600.00						9,600.00		
CONTINGENCY (UP TO 5%)		12,622.50					7,063.75	5,562.50		
PROJECT MANAGEMENT COSTS (UP TO 10%)		25,252.50					14,127.50	11,125.00		
TOTAL		300,000.00					162,466.25	137,533.75		

Procurement plan

For goods or services to be procured/hired, list the items to be procured/hired (including consultants), the estimated cost of each item, and the procedure to be used (i.e. direct procurement, open tender, shopping, other and related thresholds). Please include the procurement plan for at least the first tranche of disbursement requested below.

Procurement procedures to be applied in order to contract services and goods required to implement project activities will be rule by the following thresholds:

PROCEDURE	AMOUNT LIMIT USD\$
Open Tender	> 100,000
Restricted Tender	50,000 – 99,999
Price-Proposal Comparison	5,001 – 49,999
Minor Acquisition / Request for Quotations	2,000 – 5,000

See Annex #1 for Procurement Plan.

PROCUREMENT PLAN

Readiness and Preparatory Support Proposal - Dominican Republic

OUTCOMES (same as in section 2)	ACTIVITIES (same as in section 2)	TOTAL COST (per activity)	COST CATEGORIES			PROCURE- MENT PROCEDURE
			Consul- tants	Works- hops/ Trainings	Others	
Outcome 1: Country capaci- ty strengthened	1.1. Consultancy to develop an initial assessment (baseline) on the capacities of NDA to fulfil its role and functions.	14,250.00	x			Price-Proposal Comparison
	1.2. Preparing, publishing and disseminate brochures and other publications, containing operational manuals for national coordination and consultation mechanisms, based on the operative guidelines and norms deemed relevant for the engagement with the GCF.	22,550.00	x		x	Price-Proposal Comparison
	1.3. Training course to build knowledge and capacity of the DNA team and personnel involved in the activities of the GCF about the processes of planning, monitoring and reporting on climate finance.	27,275.00	x	x		Price-Proposal Comparison

	1.4. Supporting the NDA in coordinating with other Ministries on Fund related matters, developing and applying No Objection Procedures by the NDA and other pertinent stakeholders.	33,400.00	x			Price-Proposal Comparison
	1.5. Annual report on activities of the Fund and other relevant funding mechanisms and institutions in the country.	8,750.00	x			Price-Proposal Comparison
Outcome 2: Stakeholders engaged in consultative processes and private sector mobilization.	2.1. Workshops with the participations of national and sub-national entities in the public and private sectors to identify and prioritize programs and projects ideas to be submitted for funding by the GCF.	29,500.00	x	x		Price-Proposal Comparison
	2.2. Promote equal participation of women in projects elaboration in consultations with national stakeholders through workshops of civil society and public institutions.	18,500.00	x	x		Price-Proposal Comparison
	2.3. Conduct national workshops with the participation of key stakeholders to review the actions carried out in support of projects to be submitted to GCF.	11,800.00	x			Price-Proposal Comparison
	2.4. Conduct workshops to strengthen cooperation and coordination among stakeholders of the private sector and implementing entities, to identify opportunities of engagement for micro, small and medium-sized enterprises.	15,600.00	x	x		Price-Proposal Comparison
Outcome 3: Access to finance.	3.1. Identifying the roles of prospective public and private sector entities that may be accredited by the Fund in implementing Dominican Republic's programming priorities with respect to the Fund.	13,600.00	x			Price-Proposal Comparison
	3.2. Conduct workshops and support institutions interested and which initiate the accreditation process.	17,700.00	x	x		Price-Proposal Comparison

	3.3. Dominican Republic's development priorities identified through consultations and exchanges with respect to the Green Climate Fund consistent with the country's existing Climate Compatible Development Plan and the National Development Strategy 2010-2030, and the Fund's Initial results management framework.	21,000.00	x	x		Price-Proposal Comparison
	3.4. Identify and helping to develop concept notes for programs and projects that prioritize the NDC.	18,600.00	x			Price-Proposal Comparison
External Audit		9,600.00	x			Price-Proposal Comparison

Disbursement schedule

The NDA and its implementing partner propose that disbursements are paid in quarterly tranches. A first disbursement is requested within Grant Agreement signature and following second and third with presentation of expenditures evidence reports. Last disbursement remains as scheduled by the fund after approval of project completion report.

See Disbursement Schedule.

DISBURSEMENT SCHEDULE

Readiness and Preparatory Support Proposal - Dominican Republic

ACTIVITIES (same as in section 2)	M 0	EXPENDITURE AND IMPLEMENTATION SCHEDULE												M 13
		M 1	M 2	M 3	M 4	M 5	M 6	M 7	M 8	M 9	M 10	M 11	M 12	
Grant Agreement Signature. First Disbursement.	75,000													
Expenditure Report. Second Disbursement.					75,000									
Expenditure Report. Third Disbursement.									75,000					
Completion Report. Final Disbursement.														75,000

Additional information



Cost category identified as "OTHERS" in the budget, refers to office material supplies, material printing and related goods.



2016

SECTION 5: IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

Please attach an "implementation map" or describe how funds will be managed by the NDA/FP or delivery partner

If the entity implementing the readiness support is not an accredited entity of the GCF, please complete the Financial Management Capacity Assessment (FMCA) questionnaire ([URL](#)) and submit it with this proposal.

The Fundación Reservas (FRP) has been selected by the NDA as the delivery partner for the requested readiness support project. The organization has also been approved by the GCF after completing the FAMCA evaluation process. Fundación Reservas (FRP) and the Ministry of Environment and Natural Resources will sign an institutional agreement between parties to specify roles of each institution.

FRP will manage the readiness funding and will be responsible for providing and contracting the services described in this proposal procurement plan, as well as reporting on the progress of its implementation, in close coordination of the NDA. Procurement processes will be managed in accordance of procurement guidelines and policies of FRP. Project plan implementation is foreseen to be managed by a joint inter-institutional committee. Activities under the three outcome areas will be implemented over a 12 month period, on a sequential basis.

The NDA will approve the interim progress report and completion report provided by the delivery partner. FRP, in collaboration with the NDA, will competitively procure services consistent with its procurement policies and the proposed procurement plan provided in annexes. Consultants will be contracted on a competitive basis and the Terms of Reference (TORs) for the services will be developed further to include tasks outlined in project activities. The NDA may also add other tasks to the consultants as long as they are in accordance with the Fund's defined scope of work about NDA capacities strengthening and as long as they remain within the budget and/or previously secured any supplementary funding from other sources.

Information on the process and the results of procurement actions undertaken will be included in the interim progress and completion reports, when such services had been contracted.

Other relevant information

This box provides an opportunity to include any important information you wish to bring to the attention of the GCF Secretariat, but did not have an opportunity to provide in the sections above.