Readiness Proposal

Cote d’Ivoire
14 September 2015
<table>
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<tr>
<th><strong>Executive Summary (in one page)</strong></th>
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<td><strong>Country (or region)</strong></td>
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<td><strong>Submission Date</strong></td>
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<td><strong>NDA or Focal Point</strong></td>
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| **Readiness Area/s** | ✔️ 1. Establishing and strengthening National Designated Authorities (NDAs) or Focal Points  
✔️ 2. Strategic frameworks for engagement with the Fund, including the preparation of country programmes  
☐ 3. Selection of implementing entities or intermediaries, and support for accreditation  
☐ 4. Initial pipelines of programme and project proposals |
| **Request Summary (in 200 words)** | Côte d'Ivoire faced almost a decade of social and political unrest (2002-2011), which heavily and negatively impacted the country’s economy and its capacity across all areas of activity. Recently, revenues from the agricultural sector (a major sector of its economy) dropped significantly due to heavy crop losses related to seasonal disturbances. Also, the country registered strong coastal erosion and flooding that left several people dead over the past three years. In addition, respiratory diseases increased as a consequence of the strong air pollution in large cities (e.g. Abidjan).  

Despite government’s responses, major weaknesses persist which prevent the development and smooth implementation of climate change policies that require mainstreaming in all economic and social sectors. Given the magnitude of the challenges and limited capacity to address them, Côte d'Ivoire therefore seeks readiness support for activity 1 and 2, through the standardised packages.  

As a result of the readiness programme activities, it is expected that an appropriate NDA arrangement is identified, and its institutional capacities are built to effectively fulfil its roles and responsibilities in relation to the Fund. The programme will also facilitate the development, through a stakeholder engagement process, of a country programme, which will include programming priorities and programme/project concepts. |
| **Anticipated Duration** | 01/11/2015 – 31/10/2017 (24 months) |
| **Estimated total cost** | Up to US$ 300,000 |
Côte d’Ivoire is a lower middle-income country. Life expectancy in 2012 was 50, below the sub-Saharan African average of 56 and well below the lower-middle income average of 66 years. The development of its economy is mainly based on agriculture, a sector that contributes 22% to the national gross domestic product (GDP) and employs over 70% of the population, providing more than 65% of household income and about 75% of non-oil operating revenues. The Ivorian agriculture includes subsistence agriculture, which contributes 17% to GDP and an export agriculture whose share in GDP is 5%. Côte d’Ivoire is the second largest economy in Francophone West Africa, with the industry sector accounting for 63%. The service sector is growing at the same rate as in developed countries.

The current President of Côte d’Ivoire made it his government’s ambition to make Côte d’Ivoire become an emerging economy by 2020. His government adopted a mid-term strategy, the 2012-2015 National Development Plan (NDP), as a mean through which to achieve this long-term vision. Despite the government’s efforts to put public finances on a sounder and more sustainable footing, the results obtained particularly with respect to domestic resource mobilization remain insufficient vis-à-vis the many development challenges that Côte d’Ivoire is facing. Currently, a National Development Plan 2016 – 2020, which takes great account of climate change issues, is being finalised.

The country’s major development sectors (e.g. agriculture and water resources) are subject to very high climate vulnerabilities, resulting in major crop losses, disruption of crop calendars, disruptions in groundwater recharge and flood zones. The country has not developed key climate change strategic documents such the National Adaptation Programmes of Action (NAPA), the National Adaptation Plan (NAP), the Low Emission Development Strategy (LEDS), the Nationally Appropriate Mitigation Actions (NAMA), etc. that are critical in developing adaptation and mitigation policy framework to tackle adverse impacts of climate change.

Côte d’Ivoire has seldom benefited from established funds under the United Nations Framework Convention on Climate Change (UNFCCC), which it signed in 1992 and ratified in 1995. The country developed two national communications (in 2001 and 2010 respectively) and a third is nearing completion. Presently, it is developing the Biennial Update Report (BUR) and its intended nationally determined contribution (INDC). The production of all these documents was funded through the Global Environment Facility (GEF). Other initiatives such as the Clean Development Mechanism (CDM) and REDD+ are underway at the national level with support from bilateral and multilateral development partners. With regard to NAMAs, the country is still looking for funds to implement concrete programmes and projects.

Related climate finance initiatives and activities:

Côte d’Ivoire has accessed climate finance through the following international funds:


- Total GEF money for these projects is around US$12 million. There are also other projects at various stages of approval.

- **Forest Carbon Partnership Facility (FCPF)/World Bank**: a US$3.8 million Readiness Preparation Proposal was submitted by Côte d’Ivoire and FCPF agreed to fund the proposal.

- **UN-REDD**: US$3 million spent from 2014 for various readiness projects.

- **Public-Private Infrastructure Advisory Facility (PPIAF)**: assisted the Government to develop a strategy for transport infrastructure, including opportunities for private sector participation.

- **AfDB**: states in its Country Strategy paper that it will assist Côte d’Ivoire with the National Adaptation Plan process.

- **Collaboration between IFC (International Finance Corporation), Proparco (European Development Finance Institutions) and the BOAD (West African Development Bank)**: in 2012 funded a US$ 350 million loan package for the expansion of the Azito thermal power plant.
The Focal Point (and NDA when it is established) will determine how to avoid duplications, if any, and encourage synergies between the support of GCF and other partners’ efforts.

A.2 | Justification for request
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As indicated earlier, the development of Côte d’Ivoire’s economy is mainly based on agriculture. In recent years, revenues from the agricultural sector dropped significantly due to heavy crop losses related to seasonal disturbances. The agricultural sector can no longer count on a “logical” cropping calendar, as it was the case some years ago. Such negative impacts greatly affect the Ivorian economy. So far, the government’s responses to these challenges have only yielded limited results.

Although the government established a Ministry in charge of environmental issues, this Ministry remained attached to the Forestry Commission until June 2011. This institutional linkage meant that the focus of the newly created ministry was largely oriented towards nature conservation, with less emphasis on climate issues.

Moreover, there is no institutional arrangement for creating a platform that involves stakeholders from key sectors of development in a formal exchange with a view to preparing strategic documents and responses to adverse effects of climate change. Tackling the challenge more effectively requires an institutional framework and the development of country work programme through a broad stakeholder engagement, with a built-in capacity building component.

Although a Directorate-General of Sustainable Development was established in December 2011 and a national sustainable development strategy (2012-2015), with its related Action Plan, has been developed, a lack of human capital assets and other major weaknesses persist, preventing (i) the development and smooth implementation of climate change policies that require mainstreaming in all economic and social sectors, (ii) progress with technical and financial capacity building and (iii) preparation of a NAP, LEDS, etc.

Given the magnitude of the challenges and the limited capacity to address them, Côte d’Ivoire therefore seeks readiness support for establishing a National Designated Authority (NDA) and strengthening the country’s capacity in order to effectively fulfil its GCF-related tasks (activity 1) and develop a country programme (activity 2) in order to effectively engage with the GCF.

SECTION B: SCOPE OF WORK

B.1 | Description of activities (Please provide detailed logical framework as an annex. See Annex I for content to build from).
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The initial phase of the Readiness and Preparatory Support Programme will focus on the standardised readiness package for (i) strengthening the Focal Point (and the NDA when it is designated) (activity 1), and (ii) the development of strategic frameworks for engagement with the Fund, including the preparation of a country programme (activity 2). These activities are described below, with an initial logical framework included as Annex I.

The readiness programme will be launched with a national workshop that will help to:
1. Raise awareness about the GCF and identify an appropriate institutional arrangement that can facilitate collaboration between the country and the Fund;
2. Identify adaptation and mitigation priorities of the Government and stakeholders, including projects and/or priority programmes, which could be financed by the Fund;
3. Identify relevant regional and/or international bodies already accredited by the Fund that can support the country to develop a priority project/programme for submission to the Fund by the end of the year; and
4. Identify national, regional and/or international entities positioned for accreditation by the Fund, with the aim of having at least one of them presenting an application for accreditation by the end of the year.

Activity 1: Strengthening the NDA
Recruiting national and international experts (with good command of English language) as required to provide technical and management assistance to:
1. Identify the appropriate institutional arrangement that will support collaboration between the Government and the GCF, and facilitate its nomination as the NDA;
2. Strengthen the knowledge and capacities of the NDA coordination team to fulfil its roles and responsibilities;
3. Coordinate and liaise with other ministries, relevant departments and agencies on key issues relating to the GCF, including consultations on the development of a country programme; and
4. Build the capacity of the NDA to engage stakeholders and organise multi-party consultations.

The Focal Point (FP) (and later the NDA) may also add other activities, within the overall envelop of US$300,000 for Activities 1 and 2, in accordance with the Fund’s defined scope of work in the Standardised Package for NDA or Focal Point Strengthening, available at: http://www.gcfund.org/fileadmin/00_customer/documents/Operations/Scope_of_Work_Package_1_NDA_Strengthening.pdf

**Activity 2: Strategic Engagement Framework with the Fund**

The FP (and later the NDA) will develop a country programme by undertaking a number of activities, including:

1. Identifying the government's mitigation and adaptation priorities in accordance with its strategic policy documents, other sectoral programmes and the Fund’s *Initial Results Management Framework*;
2. Identifying a list of programmes and projects, including public-private partnerships, consistent with the Fund’s *Initial Investment Framework*;
3. Organising trainings, workshops and consultations with relevant non-state actors from the civil society (including representatives of indigenous people), academia and private sector (micro, small, medium enterprises) on GCF-related issues, including consultations on the development of a country programme;
4. Identifying opportunities to engage the private sector, including micro, small and medium-sized enterprises, and to leverage their capacity to implement programming priorities;
5. Identifying the roles of prospective public and private sector entities that may be accredited by the Fund in implementing Côte d'Ivoire’s programming priorities with respect to the Fund;
6. Identifying how the GCF can build on the ongoing work of other development partners in the country, and deliver its support in a manner complementary to the efforts of other partners; and
7. Organizing a stakeholder consultation processes for the development of the country programme.

The FP (and later the NDA) will develop a plan to manage any conflict of interest that may arise in delivering this particular activity and may add other elements of the country program, within the overall envelop of US$300,000 for Activities 1 and 2, and will also undertake stakeholder consultations in a manner that is consistent with the Fund’s defined scope of work in the Standardised Package for Country Strategic Frameworks, available at: http://www.gcfund.org/fileadmin/00_customer/documents/Operations/Scope_of_Work_Package_2_Country_Programme.pdf

**B.2 Expected results**

**Activity 1:** The NDA is established and its institutional capacities built to effectively fulfil its roles and responsibilities in relation to the Fund

**Activity 2:** Development, through a stakeholder engagement process, of a country programme to include elements provided in the Fund’s *Initial general guidelines for country programmes*, which will include programming priorities and programme/project concepts consistent with the Fund’s *Initial results management framework* and *Initial investment framework*.

**SECTION C: BUDGET** *(include total cost and share of GCF funding)*

Please use the separate budget template provided to detailed relevant line items.

A detailed budget will be provided with the inception report. Based on the guidance from the standardised package for activities 1 and 2, the following high-level, indicative budget breakdown is envisaged:

**Activity 1 & 2 combined: US$ 300,000**

- Consultants’ fees: ...............................................................US$125,000
- Consultants’ travel and per diems: ...................................... US$ 35,000
- Workshops (venue, catering, travel, per diem, materials, etc.): ......US$ 95,000
- Other costs (including audit costs): ........................................ US$13,500
- Contingency: ...................................................................... US$ 13,500
- Project Management costs (UNDP): ...................................... US$ 18,000

*Note: please refer to the Fund’s guide on indicative budgets for the standardised packages for activity 1 and activity 2.*

**SECTION D: IMPLEMENTATION PLAN**

**D.1 Implementation arrangements**
Please include information on delivery partners.

UNDP Côte d'Ivoire will serve as the delivery partner and will take responsibility for implementing the readiness activities under the leadership of the FP (and later the NDA, when it is established). With the guidance of the FP (and later of the NDA), UNDP will hire the consultants and procure other services to deliver Activities 1 and 2. The detailed implementation arrangements including the procurement plan and a detailed implementation plan will be further elaborated in the inception document.

D.2 Disbursement and implementation schedule

The funding will be transferred to UNDP Côte d'Ivoire, who will act as a delivery partner, in three tranches:
- The first disbursement, which amounts US$ 120,000, will be transferred upon the submission of an inception report from the NDA to the Fund, in form and substance acceptable to the Fund, which includes a detailed implementation plan, procurement plan and budget;
- The second tranche of US$ 130,000 will be transferred upon submission of an interim progress report and financial report, in form and substance acceptable to the Fund, including an audited expenditure statement; and
- The final disbursement of $50,000 will be made upon submission of a completion report and financial report, in form and substance acceptable to the Fund, including an audited expenditure statement.

D.3 Procurement plan

Please provide detailed procurement plan including methods.

UNDP Côte d'Ivoire, under the guidance of the FP (and later the NDA, once it is established), will competitively procure services consistent with its procurement policies:
- The terms of reference of consultants will be developed under the guidance of the FP (and later the NDA) to include the tasks outlined in the request summary above.
- Items such as venues and travel for stakeholder workshops to be organized will also be procured consistent with the UNDP’s procurement policies.

Information on the process of procurement to be undertaken will be included in the inception document where such information can be provided in advance. The process and the results will included in interim progress and completion reports once such procurement has been undertaken and completed.

SECTION E: MONITORING & REPORTING PLAN

Please describe the frequency and responsibility for monitoring the indicators, the source of information that will be used to measure the indicators and the timing for submitting the interim and final reports of the activities implemented and outputs and outcomes achieved.

The reports to be submitted will be as per what is included in section D.2, and will include reporting against the logical framework included in annex 1.

SECTION F: RISK & MITIGATION MEASURES

Please describe the expected risks (external and internal) that could influence the timing and quality of the deliveries. Highlight if there might be critical risks that could compromise the overall implementation. For each risk, identify the possible early corrective measures that will be in place to avoid or mitigate any negative impact.

No major risks anticipated.
### Annex I. Logical Framework

#### OUTCOMES

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<tr>
<th>PROJECT SUMMARY</th>
<th>INDICATORS</th>
<th>BASELINES</th>
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<tr>
<td>1. NDA capacity to undertake Fund-related responsibilities and engage national</td>
<td>1.1 Capacity to coordinate across stakeholders and facilitate effective consultation and</td>
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<td>stakeholders strengthened</td>
<td>communication</td>
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<td>1.2 Knowledge on climate and finance priorities strengthened</td>
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<td>1.3 System for reviewing proposals and issuing recommendations (or no-objections) established</td>
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<td>1.4 Capacity and systems to monitor, evaluate and report on the activities of the Fund and</td>
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<td>other relevant finance mechanisms and institutions established</td>
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<td>1.5 Information, including in local languages, on procedures of the Fund to disseminated to</td>
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<td>country stakeholders</td>
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<td>2. Strategic framework for engagement with the Fund developed</td>
<td>2.1. Engagement of private sector, civil society, government and local government stakeholders on priorities for engagement with the fund</td>
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<td>2.2. Priorities for project and concepts to be developed for accessing the fund</td>
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<td>2.3. Country programming document developed and published in adherence with the initial guidance from the Fund</td>
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