



**GREEN
CLIMATE
FUND**

Meeting of the Board

1 – 4 July 2018

Songdo, Incheon, Republic of Korea

Provisional agenda item 20

GCF/B.20/04/Add.01

8 June 2018

Strategy to increase funding proposals from direct access entities – Addendum

Results of survey of DAEs and NDAs in preparation of
2018 Enhanced Direct Access Workshop

I. Survey overview

1. As indicated in the main document GCF/B.20/04, two surveys were conducted in 2018 to generate information and identify gaps and challenges by taking into account the views of NDAs/focal points and direct access AEs while also incorporating an initial review of the Readiness and Preparatory Support Programme. The Secretariat also conducted interviews with Board members, alternate Board members to validate information collated via survey.
2. As part of the accreditation review process, the Secretariat conducted interviews with Board members, alternate Board members, national designated authorities (NDAs)/focal points and direct access entities (DAEs) to identify challenges, barriers, gaps and recommendations to achieve an increase in the amount of direct access proposals in the pipeline.
3. The questions used in the interviews conducted for the accreditation review and the ensuing analysis can be found in the GCF/B.20/XX on the accreditation framework review (in preparation).
4. In preparation of the 2018 edition of the Empowering Direct Access Workshop, a survey was sent out to DAEs and NDAs invited to participate in the workshop, and the results of this consultation were used to focus on the needs for information and training expressed by DAEs and NDAs.
5. As of 17 May 2018, 50 participants had responded to the survey, of which 33 represented 23 DAEs (66 per cent of respondents), 10 NDAs (20 per cent of respondents) and 7 Stage II accreditation applicants (14 per cent of respondents). Note that two people were invited from each DAE, one from each NDA office and one from each Stage II applicant.
6. Overall, 52 per cent of respondents have a Very Good or Excellent perception of the support received from GCF, while 42 per cent judge it Satisfactory and 6 per cent rate it as Poor.
7. Survey responses point to “access to information and project development support” as needing the greatest improvement (16 per cent of respondents judge information on that aspect minimal or insufficient), while the best ratings are achieved on “approachability of staff” (80 per cent of respondents find it good or excellent).
8. On readiness support areas, support for the development of initial pipelines of projects and programmes, concept notes and funding proposals is the one respondents are the most aware of (72 per cent of them plan to apply or are in the process of applying for that type of support). On the other hand, 61 per cent of the survey participants do not have any information or need more information on the roster of Environmental and Social Safeguards/Gender expert firms to strengthen and/or build capacities of accredited entities.
9. Survey participants revealed encountering the following challenges in their engagement with GCF:
 - (a) Lengthy review process for readiness, project preparation and funding proposals;
 - (b) Lengthy finalization process for legal agreements;
 - (c) Language limitations, as English is the only official language used by GCF, while French and Spanish are used by a large proportion of GCF partners;
 - (d) Difficulty in finding experienced and highly skilled consultants for readiness activities;
 - (e) Lack of clarity on difference between development projects and climate change projects; and
 - (f) Lack of clear guidance on theory of change, climate rationale and activities eligible for GCF investments.

10. To develop a comprehensive theory of change for their projects, 60 per cent of respondents would require a better understanding of the concept and methodology for building a theory of change or technical guidelines and information from GCF, while 22 per cent of them wish to receive capacity building support for their teams.
11. In preparing funding proposals, 46 per cent of the participants surveyed find difficult or very difficult the financial and economic analysis, 40 per cent find the feasibility study difficult or very difficult, and 29 per cent find the E&S and Gender section difficult or very difficult.
12. Most participants expressed the need for guidance from GCF on screening and risk categorization of projects, Indigenous People policies and consideration, and would appreciate the dissemination of good practices on ES&S safeguards.
13. Of the eight result areas defined by GCF, the ones respondents would need the most project development support for are ecosystem and ecosystem services (26 per cent), most vulnerable people and communities (20 per cent) and health and well-being, food and water security (16 per cent) respectively.
14. Overall, respondents were eager to receive information on opportunities for capacity building for DAEs as well as NDAs.
15. The next section of this document lists the questions of the survey sent to the direct access workshop, while the third one compiles responses received to the survey questions.

II. Survey questions to participants of the Empowering Direct Access workshop 2018

16. This section of this document lists the questions of the survey sent to the direct access workshop:
 1. What is your overall perception of the quality of support/interaction with the GCF Secretariat?
 2. How would you evaluate the following items?
 - (a) Responsiveness to accredited entity needs in general
 - (b) Approachability of staff
 - (c) Communication flow between GCF and accredited entity
 - (d) Support from the Secretariat to engage with national designated authorities
 - (e) Information and access to readiness support
 - (f) Information and access to project development support
 - (g) GCF communication and information products (e.g. forms, templates, publications, website, etc.)
 3. What is the level of information that you have on the following aspects of our readiness support areas?
 - (a) Enabling direct access entities to meet GCF accreditation standards/conditions
 - (b) Roster of environmental and social safeguards/gender expert firms to strengthen and/or build accredited entity capacities, including once accredited
 - (c) Development of initial pipelines of projects and programmes, concept notes and funding proposals
 - (d) Project Preparation Facility support
 4. What are some of the challenges encountered by your accredited entity in its engagement with GCF? What actions should be considered by GCF in addressing the

- above-mentioned challenges?
5. What aspects of the project development and approval process require more information from GCF?
 6. Please rate the following documents related to the submission of funding proposals?
 - (a) Funding proposal template
 - (b) Feasibility study
 - (c) environmental and social safeguards/gender documentation
 - (d) Financial and economic analysis
 7. What GCF project related policies are difficult to apply to concept notes/funding proposals and would require further support?
 8. What results areas/sector would you need most project development support on?
 9. What aspects of reporting to GCF would you like more information on?
 10. What information would you require from sessions on gender policy during the workshop?
 - (a) Incorporating gender considerations when designing/preparing GCF projects
 - (b) Requirements for GCF funding proposals
 - (c) Examples of good practices on gender issues
 - (d) Any other suggestions
 11. What information would you require from sessions on indigenous peoples during the workshop?
 - (a) Incorporating indigenous peoples' considerations when designing/preparing GCF projects
 - (b) Requirements for GCF funding proposals
 - (c) Examples of good practices on integrating considerations on indigenous peoples
 - (d) Any other suggestions
 12. What would you require to develop a comprehensive theory of change for your projects?
 - (a) Better understanding on the concept and methodology of building a theory of change
 - (b) Capacity-building of your team (e.g. training staff on a theory of change, resource)
 - (c) Technical guidelines and information provided by GCF
 - (d) Trainings from GCF or other organizations
 - (e) Other (please specify)
 13. What do you expect to learn from the Independent Evaluation Unit session?
 - (a) Based on the lessons learned from the portfolio review, learning from areas of improvement and recommendations for the project portfolio
 - (b) Gain introductory level knowledge about the independent evaluation of GCF (e.g. its functions, methodology, how evaluations can support entities, etc.)
 - (c) Guidance on how to build a theory of change for your project

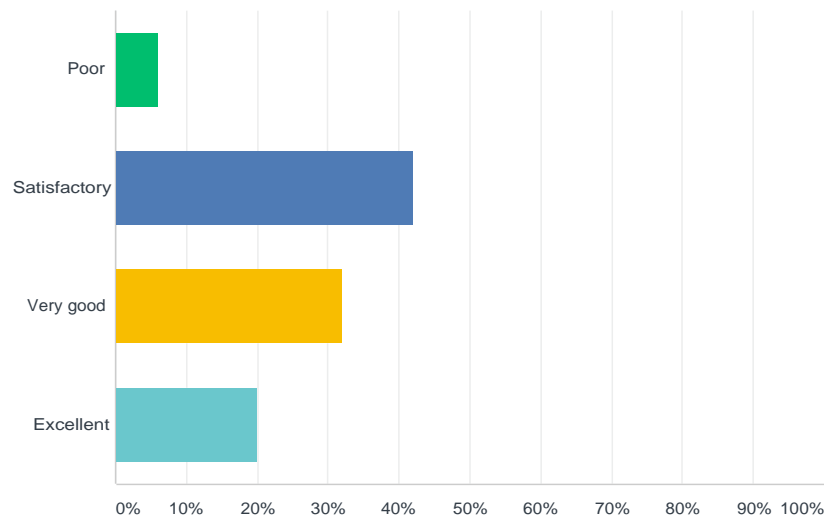
- (d) Sharing your expectations and ideas on the independent evaluation of GCF and its projects
- (f) Other (please specify)

14. What are your expectations of this Empowering Direct Access workshop? What would the main takeaways be?

III. Data collected from participants of the Empowering Direct Access workshop 2018

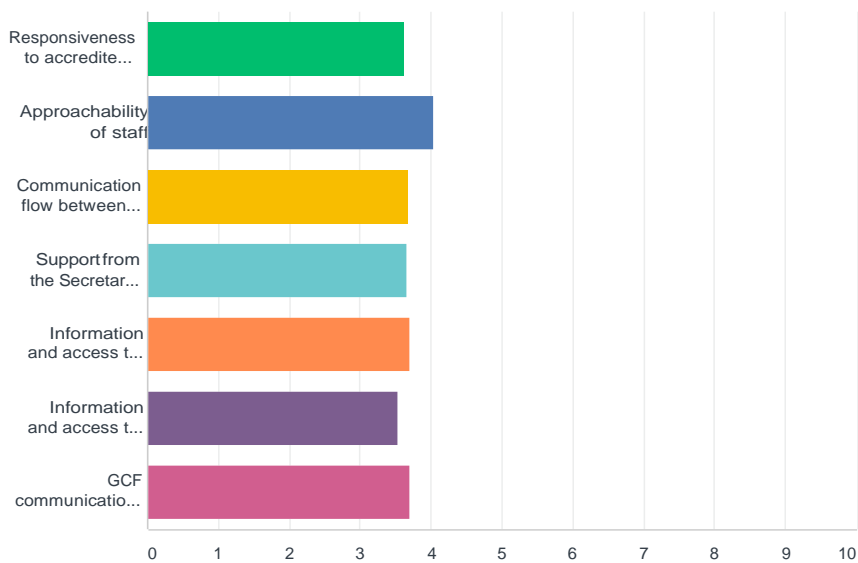
17. This third section of the document compiles responses received to the survey questions sent to the workshop participants.

What is your overall perception of the quality of support/interaction with the GCF Secretariat?



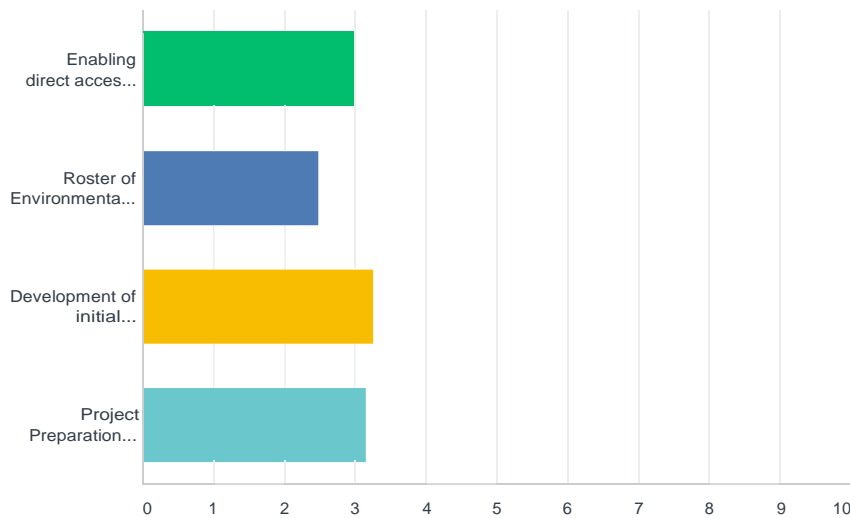
ANSWER CHOICES	RESPONSES	
Poor	6.00%	3
Satisfactory	42.00%	21
Very good	32.00%	16
Excellent	20.00%	10
TOTAL		50

How would you evaluate the following items?



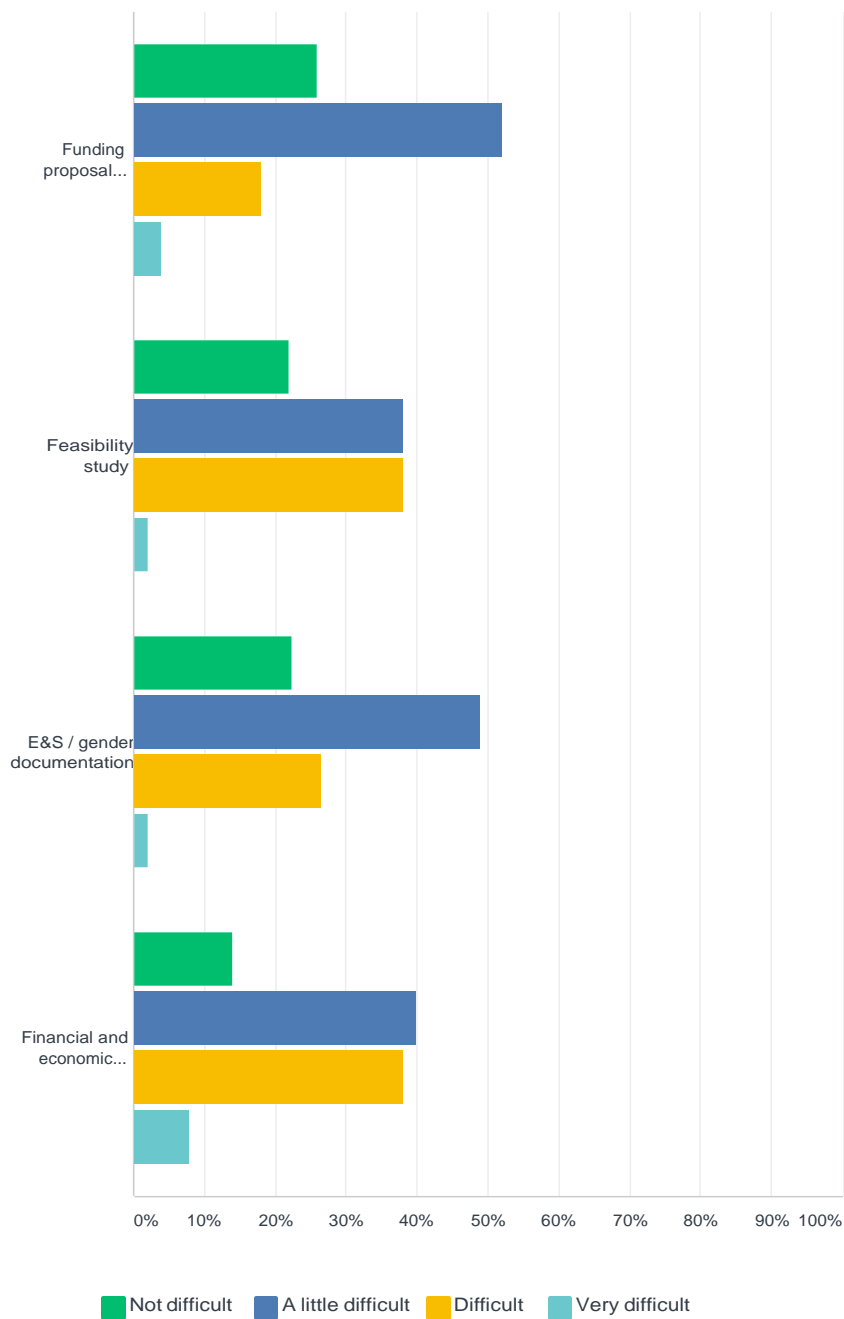
	INSUFFICIENT	MINIMAL	SATISFACTORY	GOOD	EXCELLENT	N/A	TOTAL	WEIGHTED AVERAGE
Responsiveness to accredited entities' needs in general	2.00% 1	8.00% 4	30.00% 15	44.00% 22	14.00% 7	2.00% 1	50	3.61
Approachability of staff	2.04% 1	8.16% 4	10.20% 5	42.86% 21	36.73% 18	0.00% 0	49	4.04
Communication flow between GCF and accredited entity	4.17% 2	8.33% 4	20.83% 10	45.83% 22	18.75% 9	2.08% 1	48	3.68
Support from the Secretariat to engage with NDAs	0.00% 0	12.50% 6	14.58% 7	43.75% 21	12.50% 6	16.67% 8	48	3.67
Information and access to readiness support	4.00% 2	10.00% 5	16.00% 8	42.00% 21	20.00% 10	8.00% 4	50	3.70
Information and access to project development support	6.12% 3	10.20% 5	26.53% 13	34.69% 17	20.41% 10	2.04% 1	49	3.54
GCF communication and information products (e.g. forms, templates, publications, website etc)	2.04% 1	6.12% 3	28.57% 14	44.90% 22	18.37% 9	0.00% 0	49	3.71

What is the level of information that you have on the following aspects of our Readiness Support Areas?



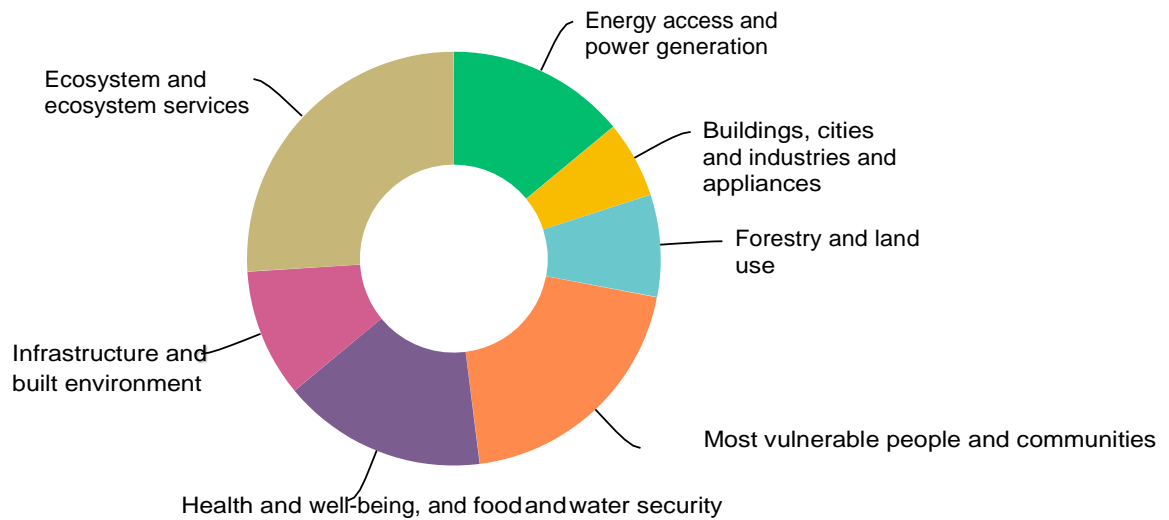
	NO INFORMATION	NEED MORE INFORMATION	PLANNING TO APPLY	IN PROGRESS	TOTAL	WEIGHTED AVERAGE
Enabling direct access entities to meet GCF accreditation standards/conditions	12.77% 6	21.28% 10	14.89% 7	51.06% 24	47	3.04
Roster of Environmental and Social Safeguards / gender expert firms to strengthen and/or build AE's capacities, including once accredited	16.33% 8	44.90% 22	12.24% 6	26.53% 13	49	2.49
Development of initial pipelines of projects and programmes, Concept Notes and Funding Proposals	0.00% 0	28.00% 14	18.00% 9	54.00% 27	50	3.26
Project Preparation Facility support	4.08% 2	20.41% 10	30.61% 15	44.90% 22	49	3.16

Please rate the following documents related to the submission of funding proposals ?



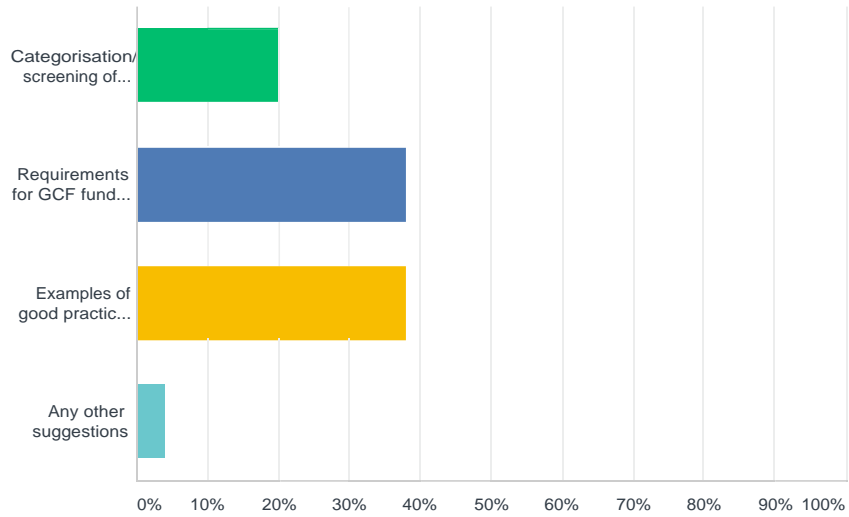
Funding Proposal Template	26.00%	52.00%	18.00%	4.00%	50
	13	26	9	2	
Feasibility study	22.00%	38.00%	38.00%	2.00%	50
	11	19	19	1	
E&S / gender documentation	22.45%	48.98%	26.53%	2.04%	49
	11	24	13	1	
Financial and economic analysis	14.00%	40.00%	38.00%	8.00%	50
	7	20	19	4	

What results areas/sector would you need most project development support on?



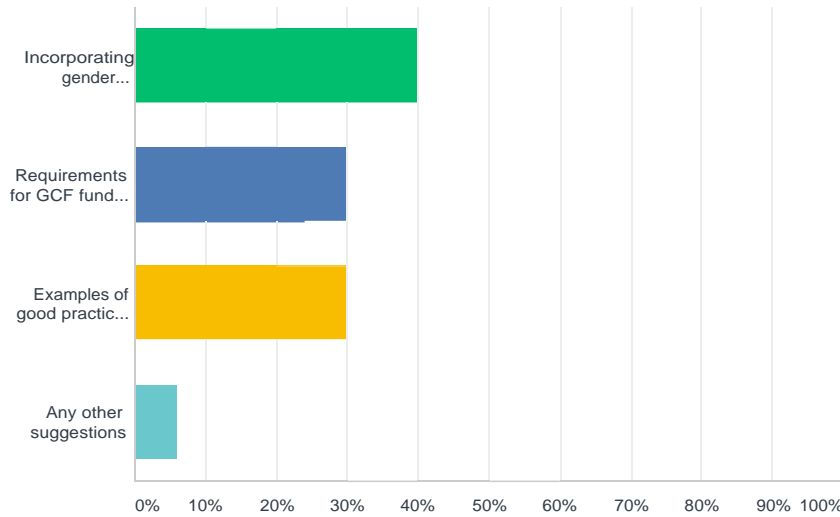
ANSWER CHOICES	RESPONSES	
Energy access and power generation	14.00%	7
Low emission transport	0.00%	0
Buildings, cities and industries and appliances	6.00%	3
Forestry and land use	8.00%	4
Most vulnerable people and communities	20.00%	10
Health and well-being, and food and water security	16.00%	8
Infrastructure and built environment	10.00%	5
Ecosystem and ecosystem services	26.00%	13
TOTAL		50

What aspects of reporting to GCF would you like more information on?



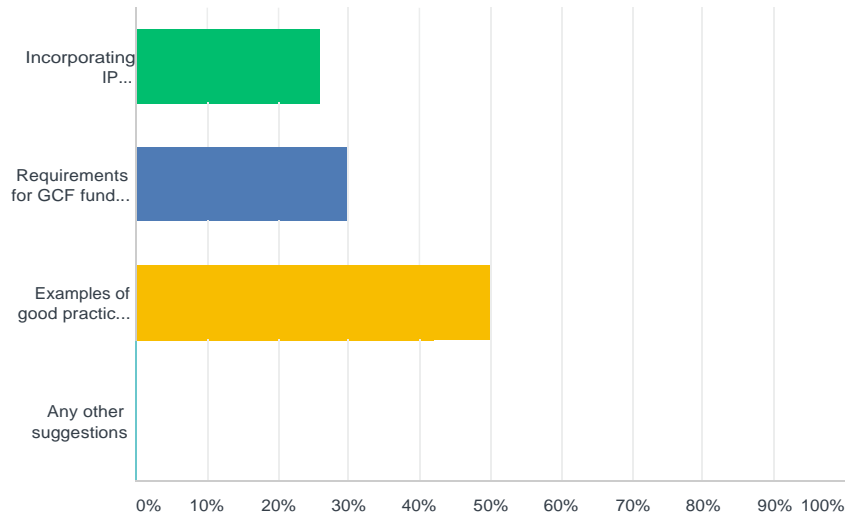
ANSWER CHOICES	RESPONSES	
Categorisation/screening of GCF projects	20.00%	10
Requirements for GCF funding proposals with different environmental and social risk categories	38.00%	19
Examples of good practices on environmental and social safeguards	38.00%	19
Any other suggestions	4.00%	2
TOTAL		50

What information would you require from sessions on gender policy during the workshop?



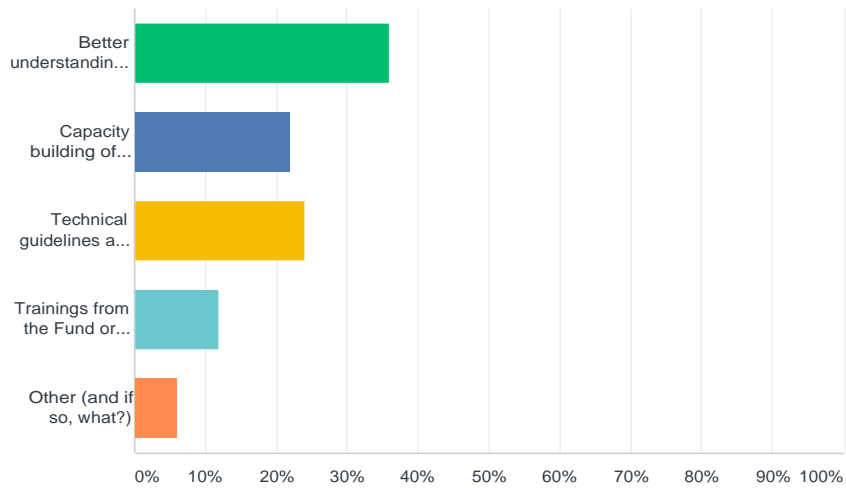
ANSWER CHOICES	RESPONSES	
Incorporating gender considerations when designing/preparing GCF projects	40.00%	20
Requirements for GCF funding proposals	24.00%	12
Examples of good practices on gender issues	30.00%	15
Any other suggestions	6.00%	3
TOTAL		50

What information would you require from sessions on indigenous peoples during the workshop?



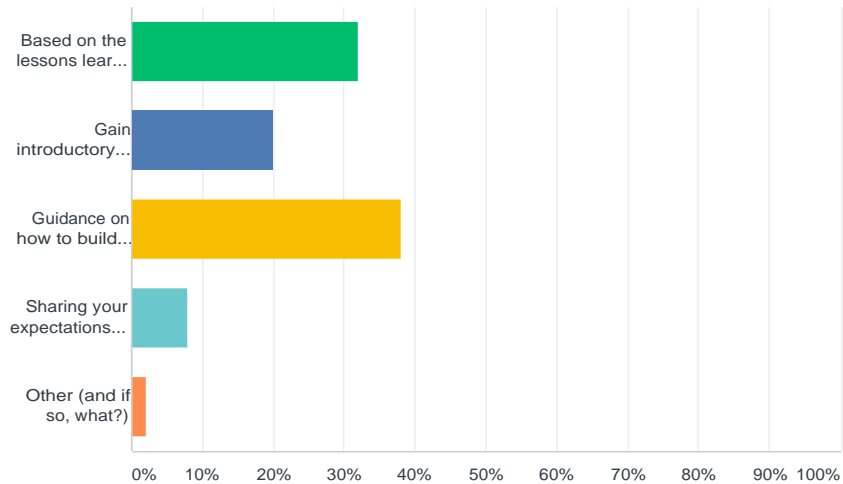
ANSWER CHOICES	RESPONSES	
Incorporating IP considerations when designing/preparing GCF projects	26.00%	13
Requirements for GCF funding proposals	30.00%	15
Examples of good practices on integrating considerations on indigenous peoples	42.00%	21
Any other suggestions	2.00%	1
TOTAL		50

What would you require to develop a comprehensive theory of change for your projects?



ANSWER CHOICES	RESPONSES	
Better understanding on the concept and methodology on building a theory of change	36.00%	18
Capacity building of your team (i.e. trained staff on a theory of change, resource)	22.00%	11
Technical guidelines and information provided by the Fund	24.00%	12
Trainings from the Fund or other organizations	12.00%	6
Other (and if so, what?)	6.00%	3
TOTAL		50

What do you expect to learn from the Independent Evaluation Unit (IEU) session?



ANSWER CHOICES	RESPONSES
Based on the lessons learned from the portfolio review, learning from areas of improvement and recommendations for the project portfolio	32.00% 16
Gain introductory level knowledge about the independent evaluation of the Fund (i.e. function, methodology, how evaluations can support entities, etc.)	20.00% 10
Guidance on how to build a theory of change for your project	38.00% 19
Sharing your expectations and ideas on independent evaluation of the Fund and the projects	8.00% 4
Other (and if so, what?)	2.00% 1
TOTAL	50